

Centre for

Data Ethics

and Innovation

Applicant Briefing
Tuesday 24th October 2023

Welcome



Fairness Innovation Challenge



## 1732 Fairness Innovation Challenge

## **Applicant Briefing**

Date: Tuesday 24th October 2023

#### The webinar will start at 10:00am

- We are currently on mute, whilst waiting for more people to join
- We will conduct a sound check before the briefing starts
- Please ensure that you are dialled in, either by computer or phone, so that you can hear the briefing.
- If you have any audio issues, please dial in from a fixed line

## Welcome & Introductions

#### Sarah Selwood

Competition Manager-Innovate UK

#### **Leonie Allen**

Innovation Lead, Digital Ethics – Innovate UK

#### **Nuala Polo**

Senior Policy Advisor, Al Assurance Lead - CDEI (Centre for Data Ethics and Innovation)

#### **Robert Bancroft**

Policy Principal, AI & Digital – EHRC (Equality & Human Rights Commission)

#### **Maddy Griffiths**

Senior Policy Officer – ICO (Information Commissioner's Office)

#### **Richard Foggie**

Knowledge Transfer Manager - IUK KTN





## Agenda

#### Part 1

- Housekeeping
- Scope CDEI & Regulators
- Introduction to UKRI and Innovation UK
- Eligibility Criteria
- Q&A

#### Part 2

- The Innovation Funding Service
- Application Finances
- Academic Partners
- Submitting your application
- Assessment
- Project Set Up for successful applicants
- Additional Support
- Q&A

#### Part 3

Networking Session – IUK KTN











## Housekeeping

- This briefing will be recorded and available to refer to through the competition page
- PDFs of the presentations will be circulated to attendees
- Please use the Q&A tab to ask questions of presenters, Q&A sessions are scheduled, we will share a transcript of the Q&A
- Please use the chat box for technical questions
- Meeting Mojo, a networking tool, is available for you to use. We will share the link in the chat, or you may scan the QR code

# Scope





## **About the CDEI**

 The first of its kind in the world, the Centre for Data Ethics and Innovation (CDEI) leads the UK government's work to enable trustworthy innovation using data and AI.

• It is vital that the public can **trust** innovation in data and AI. To earn that trust, the CDEI works with **partners** across the public sector, industry and academia, in the UK and internationally, to identify and tackle barriers to responsible innovation.



## Background

Fairness in the context of AI systems has received increasing attention across government, academia, and practitioner communities in recent years.

Review into bias in algorithmic decision making

Roadmap to an effective AI assurance ecosystem

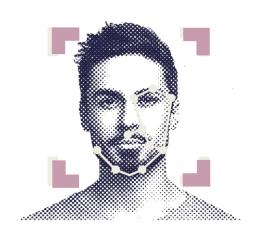
A pro-innovation approach to Al governance

Enabling responsible access to demographic data for bias detection and mitigation

2020 March 2023 June 2023

## Background

Despite increased interest in addressing bias and discrimination in AI systems, a number of challenges remain. These include:







Lack of access to demographic data

Need for contextspecific interventions **Ensuring compliance** with UK regulations

## **Overview**

#### **Objectives:**

The Fairness Innovation Challenge aims to drive the development of novel solutions to address bias and discrimination in artificial intelligence (AI) systems.

#### The challenge will:

- Encourage the development of socio-technical solutions
- Test how strategies to address bias and discrimination comply with data protection and equalities law
- Provide greater clarity around context-specificity; what interventions or assurance techniques should be used and why?

## **Emphasising the "socio-technical" approach**

Your proposed solution must adopt a **socio-technical** approach to fairness.

A socio-technical approach considers the broader historical, social and cultural context in which an AI system is embedded.

- Participatory forms of data collection, audit or mitigation
- Governance interventions addressing organisational biases
- Intersectional bias analysis
- Custom, context-specific bias metrics
- Engagement with subject matter experts
- Investigating bias in human decision-making

## **Incentives**



## **Funding**

Each submission can apply for up to £130,000, with a total pot of £400,000 of government investment.



## **Engagement with UK regulators**

Regular engagement with UK regulators, The Equality and Human Rights Commission (EHRC) and The Information Commissioner's Office (ICO)

## **Use cases**

## Your project must focus on one of the following use cases:

## Healthcare

- CogStack Foresight model
- Generative AI model for predicting patient outcomes based on electronic health records (EHRs)

#### Open

- Open use case
- Participants can submit proposals to develop fairness solutions for their own models that may be at risk of potential bias and discrimination







maddy.griffiths@ico.org.uk hub@ico.org.uk robert.bancroft@equalityhumanrights.com kathleen.jameson@equalityhumanrights.com

equalityhumanrights.com



## ICO Innovation Services

 A quick overview of the services available from the ICO for innovators at every stage

# DPIA Team (high risk processing)

- Pre-market
- Earliest stage of product development: before processing begins
- Provides prior consultations to organisations for high risk processing

Contact the team: <a href="mailto:DPIAConsultation@ico.org.uk">DPIAConsultation@ico.org.uk</a>





# Regulatory Sandbox (innovations for public benefit)

- Pre-market
- Product development stage
- Bespoke support and policy steers on lawful basis and compliance for innovations
- Submit an expression of interest: <u>applysandbox@ico.org.uk</u>

## **Innovation Advice** (all innovations)

- Pre-market
- Product development stage
- Novel uses of personal data
- For innovators who want clarity – from the start • Fast, frank feedback





Business Services including SME Hub (for BAU)

- Post-market
- For innovations which have entered the marketplace and have business as usual queries
- Web tools and advice for <u>SMEs</u>
- Advice on specific queries
- Focuses on day to day business needs

# The Innovation Hub (supporting tech sprints)

- Pre-market
- Product development stage
- Novel uses of personal data
- One to one advice for innovators participating in Challenges, Catapults and tech sprints
- Contact us to support your new tech sprint at <a href="https://hub@ico.org.uk">hub@ico.org.uk</a>









maddy.griffiths@ico.org.uk hub@ico.org.uk robert.bancroft@equalityhumanrights.com kathleen.jameson@equalityhumanrights.com

equalityhumanrights.com

## **UK Research and Innovation**

We work with the government to invest over £7 billion a year in research and innovation by partnering with academia and industry to make the impossible, possible. Through the UK's nine leading academic and industrial funding councils, we create knowledge with impact.





## **Eligibility Criteria**





## Previously submitted applications

This competition does allow you to submit a previously submitted application.

Previously submitted application	Not a Previously submitted application
A previously submitted application is an application Innovate UK judges as not materially different from one you have submitted before (but it can be updated based on the assessors' feedback)	A brand-new application, project or idea that you have not previously submitted into an Innovate UK competition  OR  A previously submitted or ineligible application which:  ✓ has been updated based on assessor feedback  ✓ and is materially different from the application submitted before  ✓ and fits with the scope of this competition



## **Eligibility Criteria**

Your project must:	<ul> <li>carry out its project work in the UK</li> <li>intend to exploit the results from or in the UK</li> <li>start by 1 May 2024</li> <li>end by 31 March 2025</li> </ul>
Project type:	single applicants only
Total project costs/ grant funding request:	Up to £130,000
Project length:	Up to 11 months



## **Eligibility Criteria continued**

Projects must always start on the first of the month and this must be stated within your application. Your project start date will be reflected in your grant offer letter if you are successful.

You must only include eligible project costs in your application.

Under current restrictions, this competition will not fund any procurement, commercial, business development or supply chain activity with any Russian and Belarusian entity as lead, partner or subcontractor. This includes any goods or services originating from a Russian and Belarusian source.

You will be made ineligible if you exceed the Minimal Financial Assistance limit. You must submit a complete declaration as part of your application.



## **Applicant Eligibility**

#### Your organisation must be a UK registered:

- business of any size
- academic institution
- research and technology organisation (RTO)
- charity
- not for profit
- public sector organisation

More information on the different types of organisation can be found in our **Funding rules**.

#### **Number of applications:**

An eligible organisation can lead on any number of distinct projects.



## **Project Team**

#### **Subcontractors**

Subcontractors are allowed in this competition. We recognise that developing socio-technical solutions to address bias and discrimination in AI systems requires a breadth of knowledge and skills that may require you to work with different organisations as subcontractors.

Subcontractors can be from anywhere in the UK and you must select them through your usual procurement process.

You can use subcontractors from overseas but must make the case in your application as to why you could not use suppliers from the UK.

You must also provide a detailed rationale, evidence of the potential UK contractors you approached and the reasons why they were unable to work with you.

We expect all subcontractor costs to be justified and appropriate to the total eligible project costs. We will not accept a cheaper cost as a sufficient reason to use an overseas subcontractor.



## Projects we will not fund

#### We are not funding projects that:

- do not adopt a socio-technical approach to fairness
- do not address at least two of the stages in the process of addressing bias and discrimination in Al systems
- do not evidence the potential for the proposed innovation to generate positive economic or societal impact

If you are proposing your own use cases, we will not accept projects that are not transparent and open about the models, data and risks to fairness that your use case presents.

#### We cannot fund projects that:

- are not allowed under <u>De minimis regulation restrictions</u>
- are not eligible to receive <u>Minimal Financial Assistance</u>
- are dependent on export performance, for example giving an award to a baker on the condition that they
  export a certain quantity of bread to another country
- are dependent on domestic inputs usage, for example if we give an award to a baker on the condition that they use 50% UK flour in their product



# Minimal Financial Assistance (previously Special Drawing Rights) or De Minimis

Grant funding in this competition is awarded as Minimal Financial assistance (MFA). This allows public bodies to award up to £315,000 to an enterprise in a 3-year rolling financial period.

In your application, you will be asked to declare previous funding received by you. This will form part of the financial checks ahead of Innovate UK making a formal grant offer.

To establish your eligibility, we need to check that our support added to the amount you have previously received does not exceed the limit of £315,000 in the 'applicable period'.

The applicable period is made up of:

- the elapsed part of the current financial year, and
- the two financial years immediately preceding the current financial year.

You must include any funding which you have received during the applicable period under:

- Minimal Financial Assistance (previously referred to as <u>Special Drawing Rights</u>)
- De Minimis Regulation



## **Due Diligence**

Under the Subsidy Control Act 2022, we will carry out financial health checks and going concern assurances on your organisation.

## Certify you are eligible

When submitting an application, you must certify that you are eligible for funding. If you are unsure, please take independent legal advice before applying. Should you be successful, we will complete these financial checks and assurances before confirming the grant offer.

For more information on company sizes, please refer to the <u>Company accounts</u> <u>guidance</u>.

Further information is available on our website in the general guidance.



# Eligibility Criteria - EU State Aid Regulations – Northern Ireland Protocol

If you are an applicant who is conducting activities that will affect trade of goods and/or electricity between Northern Ireland and the EU as envisaged by <u>Article 10 of the Northern Ireland protocol</u>, then you must apply under European Commission State aid rules.

## Certify you are eligible

When submitting an application, you must certify that you are eligible for State aid. If you are unsure, please take legal advice before applying. Should you be successful, we will apply this test as part of our viability checks before confirming the grant offer.

Further information is available on our website in the general guidance under state aid.

If you are applying for an award funded under State aid Regulations, the definitions are set out in the <u>European Commission</u> <u>Recommendation</u> of 6 May 2003.



## Minimal Financial Assistance (MFA) declaration form

Declaration statement	DECLARATION:
I confirm that I have not received any funding in the applicable period as defined above. (Please check the box if applicable and move to Recipient Acknowledgments.)	I believe that the facts stated in this Declaration are true. I understand that legal proceedings for false and inaccurate declarations may be brought against anyone who makes, or causes to be made, a false statement in a document verified by a statement of truth without an honest belief in its truth.
	Company
	Applicant Name
or	Signature
	Date
I confirm that I <b>have</b> received the following funding in the applicable period as defined above. ( <i>Please</i> check the box if applicable and complete the table below.)	False declarations will result in withdrawal of the offer made by the Authority. If an award is made to you based on false information, the Authority has the right to recover funding in full.

Α	В	С	D
Body who provided the funding (Who awarded you the funding?)	Funding Amount received in GBP	Funding Amount received in Euros (only use this column to declare your conversion of the De minimis funding you previously received in euros)	Date awarded



## Other Innovate UK projects

If you have an outstanding final claim or Independent Accountant Report (IAR) on a live Innovate UK project, you will not be eligible to apply to this competition, as a lead or a partner organisation.

We will not award you any further funding if you:

- applied to a previous competition as the lead or sole company and were awarded funding by Innovate UK, but did not make a substantial effort to exploit that award
- applied to a previous competition as the lead or sole company and failed to comply with grant terms and conditions.



## **Key Dates**

Timeline	Dates
Competition Opens	Monday 16th October 2023
Launch Event	Thursday 19th October 2023
Applicant Briefing Webinar	Tuesday 24th October 2023
Submission Deadline	11am on Wednesday 13th December 2023
Applicants informed	Tuesday 30th January 2024
Project start date	By 1 <sup>st</sup> May 2024



Q&A







# Innovation Funding Service (IFS)

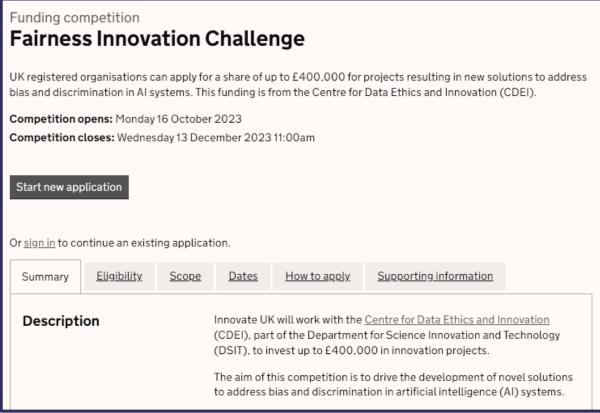
Link to competition

https://apply-for-innovation-

<u>funding\_service\_gov.uk/competition/1732/overview/c9153422-dbfd-4bc1-99a5-aed7e99dc91a</u>

## Search for a funding competition and review criteria







# Lead/Single Applicant: create an account

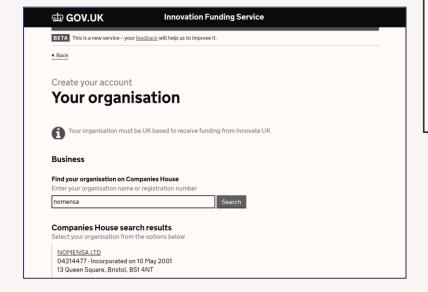
The lead/single applicant must create an account:

#### **UK registered businesses**

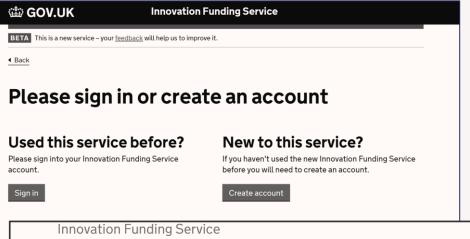
Use Companies House lookup as it speeds up our checks by providing your company number. You are unable to enter this at a later date.

#### Research organisations, academics and universities

Enter your information manually so you are not listed as a business on IFS and ensure you receive the correct funding.







Email address	
Please enter your email a	address.
Password	
Please enter your passw	vord.
Please enter your passw	
Please enter your passw	Show
Please enter your passw  Need help signing in or c	Show
	Show creating an account?

## **Project Details**

#### **Application team**

Decide which people from your organisation will work with you on the project and invite those people to help complete the application. All team members and Lead applicants must complete the Equality, Diversity and Inclusion survey embedded in IFS as part of your application.

#### **Application details**

Give your project's title, start date and duration.

#### **Project summary**

Describe your project briefly and be clear about what makes it innovative. We use this section to assign the right experts to assess your application. Your answer can be up to 400 words long.

#### **Public description**

Describe your project in detail and in a way that you are happy to see published. Do not include any commercially sensitive information. If we award your project funding, we will publish this description. This could happen before you start your project. Your answer can be up to 400 words long.

#### Scope

Describe how your project fits the scope of the competition. If your project is not in scope it will not be sent for assessment. We will tell you the reason why. Your answer can be up to 400 words long.

#### **Subsidy basis**

Will the project, including any related activities, you want Innovate UK to fund, affect trade between Northern Ireland and the EU? All participants must complete this section.



## Application Questions with percentage weightings

## Detailed guidance available on IFS

Questions a	Questions and word count				
Question 1	400 words	Applicant location (unscored)	No		
Question 2	400 words	Minimal Financial Assistance declaration (not scored)	Template to complete		
Question 3	400 words	Equality, Diversity and Inclusion (not scored)	No		
Question 4	400 words	Need or challenge (12%)	Yes – mandatory *		
Question 5	750 words	Approach and innovation (20%)	Yes – optional		
Question 6	400 words	Team and resources (12%)	Yes – optional		
Question 7	600 words	Wider Impacts (20%)	No		
Question 8	400 words	Project management (12%)	Yes – mandatory		
Question 9	400 words	Risks (12%)	Yes – mandatory		
Question 10	400 words	Costs and value for money (12%)	No		



## **Application Finances**





## To claim funding

Your business does not have to be UK registered with Companies House when you apply but it must be registered before you can receive funding.

You are unable to claim funding if:

- you are an overseas organisation, so your company number begins with FC
- your organisation is setup as a branch, so your company number begins with BR
- you are a collaboration with no formal structure of ownership or control, so your company number begins with ML
- you are a Crown Dependency:
  - if your company is based in Jersey, your company number begins with JE
  - if your company is based in Guernsey
  - if your company is based in the Isle of Man



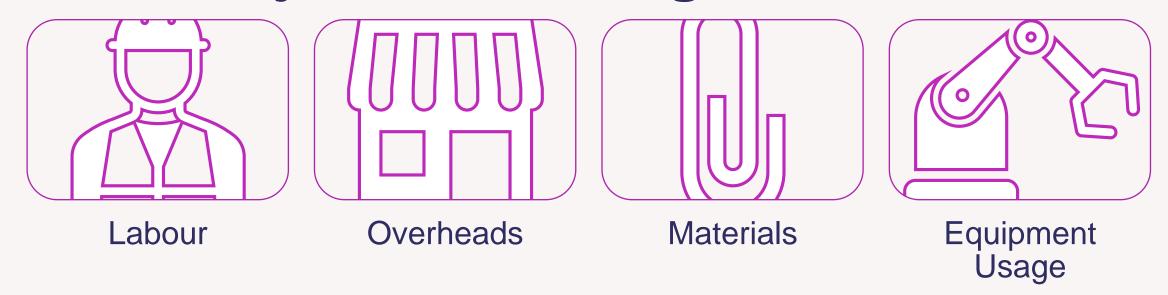
## **British Overseas Territories**

You are also unable to claim funding if your company is based in any of the British Overseas Territories (BOTs):

- Anguilla
- Bermuda
- British Antarctic Territory
- British Indian Ocean Territory
- British Virgin Islands
- Cayman Islands
- Falkland Islands
- Gibraltar
- Montserrat
- Pitcairn Islands
- Saint Helena, Ascension and Tristan da Cunha
- South Georgia and the South Sandwich Islands
- Turks and Caicos Islands



## **Your Project Cost Categories**







Travel & Subsistence



## Labour

#### **Costs supported:**

- Roles and number of days spent working on project (time sheets required)
- 262 work days per annum (less Public holidays and entitlement)
- paid by PAYE
- Employers NI, annual salaries, pension, Health insurance

#### **Costs not supported:**

- Dividends & bonuses
- Holiday (excluding that mentioned in eligible costs)
- Training days
- Names not roles assigned to project costs



You can claim the labour costs of all employees you have working on your project.

#### ► <u>Labour costs guidance</u>

If your application is awarded funding, you will need to account for all your labour costs as they occur. For example, you should keep timesheets and payroll records. These should show the actual hours worked by individuals and paid by the organisation.

Working days per year

232

Number of staff and roles within the project

Role within project	Gross employee cost	Rate (£/day)	Days to be spent by all staff at this grade	Total costs	
Project Manager	50000	£216	120	£25,862	<u>Remove</u>
	0	£0	0	£0	<u>Remove</u>

Add another role

## **Material costs**

#### **Costs supported**

- Off the shelf items via 3rd Party suppliers
- Items from stock with the replacement of items invoice (used as evidence of supply)

- Whole bulk buy invoices, when only partially used with project work
- Items from stock that aren't being replaced
- Equipment treated as assets



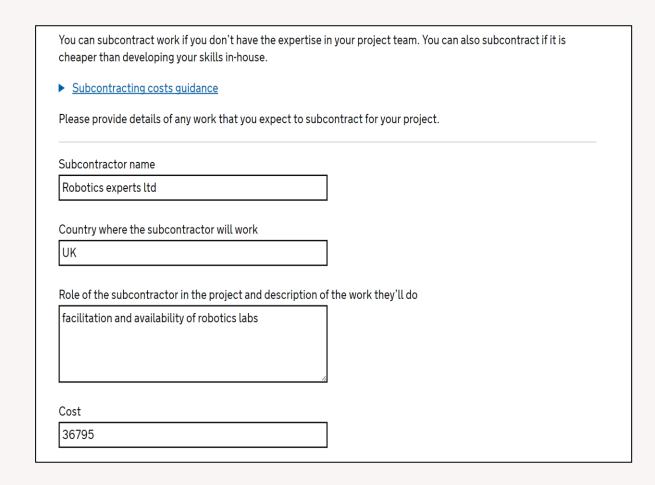
Materials				£10,000 <b>—</b>
You can claim the costs of materials use	d on your project p	roviding:		
<ul> <li>they are not already purchased or incl</li> <li>they are purchased from third parties</li> <li>they won't have a residual/resale value</li> </ul>			ou can claim the costs	minus this
Please refer to our guide to project costs	s for further informa	ation.		
► <u>Materials costs guidance</u>				
Please provide a breakdown of the mate	rials you expect to	use during the projec	t	
Item	Quantity	Cost per item (£)	Total	
Software	1	10000	£10,000	Remove
	0	0	£0	Remove
Add another materials cost				
			Total materials costs	£10,000

## Subcontractors\*

#### **Costs supported**

- Justification required if non-UK supplier chosen
- Independent contractor via 3rd Party suppliers
- Linked supplier must be charged at cost
- Description of work covered

- Intercompany uplifts or profits
- Overseas contractors, if UK contractor available-without justification



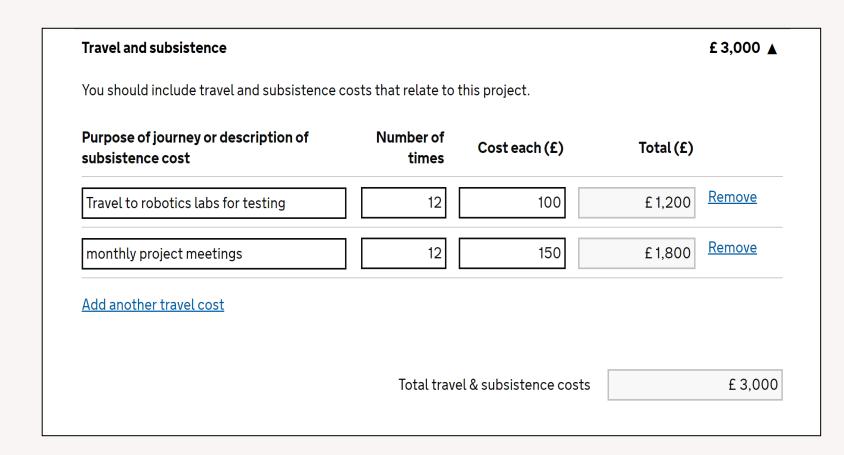


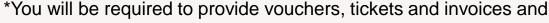
## Travel and subsistence\*

#### **Costs supported**

- Rail, Car or Plane\*\*
- Mileage at HMRC rate
- Hotel (Bed and Breakfast)
   Reasonable food
   costs(overnight stay only)

- Fuel
- First class travel
- Entertaining or marketing
- Visas
- Unreasonable food costs(overnight stay only)





<sup>\*\*</sup> must provide number of people travelling, the destination and purpose of visit



### **Overheads**

Innovate UK's included costs are those incurred as a direct result of the project.

#### **Costs supported**

- Additional costs for administrative staff
- Day to Day Calculation for those people listed in the Labour Costs Table, multiplied by the numbers of days working on the project work

#### **Costs not supported**

- Excessive costs of Senior Managers only overseeing project activities
- Business as usual day to day rates for Administration
- Uplifts of any kind



Overhead costs	£ 44,483 🛦
You can incur overhead costs associated with those directly working on the p (administration) overheads. To be eligible both overhead categories need to be The indirect overheads need to be additional as well as directly attributable. I categories/activities which are not eligible. To find out which costs are ineliginguidance.	be directly attributable to the project. Note that there are certain cost
▶ Overheads costs guidance	
No overhead costs	
20% of labour costs	
Calculate overheads	

#### Calculate overheads

If you feel your overheads are higher than 20% you may calculate a value using the Innovate UK model in the spreadsheet available below. The model shows you which types of indirect costs associated with your project you may claim. For support with this option, please contact our Customer Support Service on 01793 44 2700. Any value claimed under this model will be subject to a review. This will assess the appropriateness of your claim if your grant application is successful.



#### Download the overhead calculation spreadsheet

Download as an Excel document <u>overhead calculation spreadsheet.xlsx (16KB)</u>

Download as an Open Office document <u>overhead calculation spreadsheet.ods (10KB)</u>

#### Upload your completed spreadsheet

No file currently uploaded

+ Upload

### Other\*

#### **Costs supported**

- Facility usage\*\*
- Licence fees(or appropriate proportion)
- Miscellaneous(not covered in other categories)
- Quality certification\*\*\*
- Specific PPE
- Dissemination costs
- Patent filing costs (for SMEs only) limited to £7.5k

- Facility charge rates
- Marketing
- Trademark
- Undefined mixed costs
- Contribution in kind
- Business as usual costs
- \* You will be required to provide invoices and basis of daily rates
- \*\* At daily or hourly cost
- \*\*\* Where required for sign off



Other costs	£0 A
Please provide details of any project costs which cannot b	be covered by the other cost categories.
Other costs guidance	
Please note that legal or project audit and accountancy fe cost'. Patent filing costs of new IP relating to the project a provide estimates of other costs that do not fit within any	are limited to £7,500 for SME applicants only. Please
Description and justification of the cost	Estimated cost (£)
Description and justification of the cost	Estimated Cost (E)

## **Grant requested (MFA)**

The maximum total grant for this competition is £130k.

You must request 100% funding.

Your total project costs must match the total grant.

You must make sure your total at the bottom of the funding sought column (highlighted in red) is no more than £130k.

#### IFS DOES NOT VALIDATE FUNDING SOUGHT COSTS.

	Total costs (£)	Funding level (%)	Funding sought (£)	Contribution to project (£)	Other public sector funding (£)
Hogwarts Partner	£43,000	100	43,000	0	0
Beauxbatons Academy Partner	£26,500	100	26,500	0	0
Total	£69,500		69,500	0	£0



# **Academic partners**





## Why Je-S?

We use the Research Councils' Joint Electronic Submission System (Je-S) to collect academic finances.

The Je-S system automates the collection of Full Economic Costs (FEC) based costs from academic partners and tells them exactly what numbers should be used in the application form for their costs.

Also to collect project finance details from non-HEIs (e.g. RTOs) that are claiming they are carrying out academic quality work and want to be funded on an FEC basis.

Using Je-S means that Innovate UK follows standard Research Council guidelines on funding universities and enables Research Councils to easily co-fund Innovate UK projects.

The Je-S system is completely separate from Innovate UK and we cannot advise on its usage.



## **Project costs – academic partners**

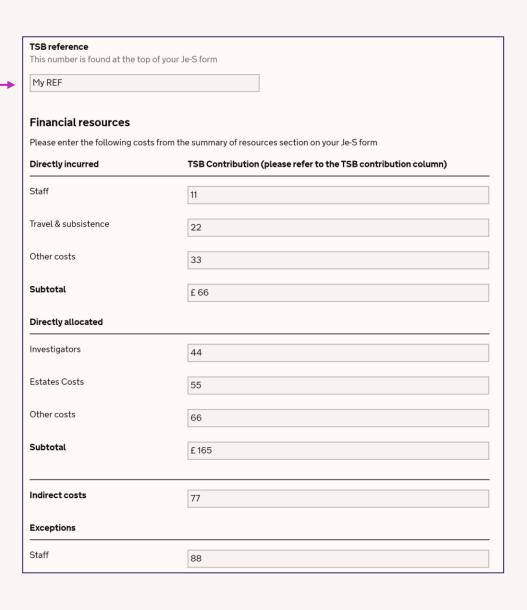
Enter the TSB reference number here

Enter the TSB Contribution column figures from *your* J-eS output document into the project costs section of the application.

Upload the Je-S with council status form as a PDF at the bottom of the screen.

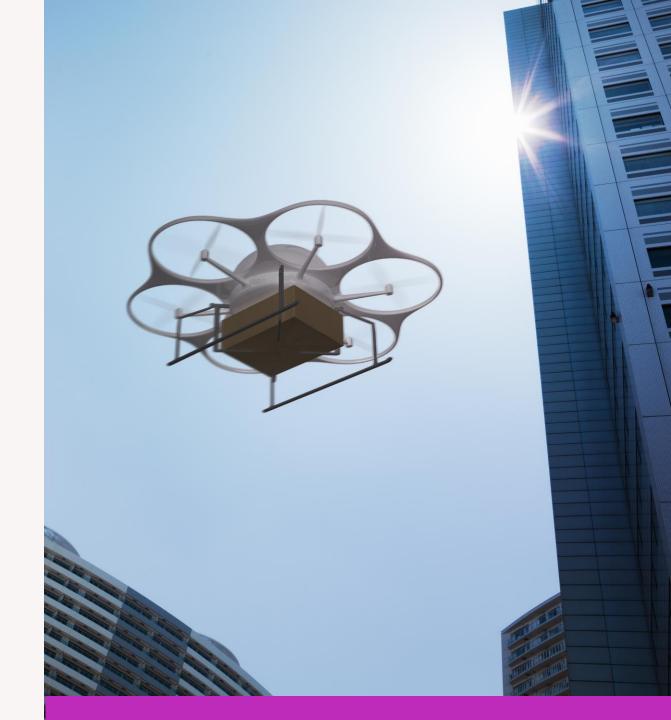
#### Any queries, contact Je-S Helpdesk (not Innovate UK)

- jeshelp@je-s.ukri.org
- 01793 444164





# Submitting your application





## Checking your finances are complete

#### **Finances Summary**

The following organisations have not marked their finances as complete:



• EMPIRE LTD

Return to the finances section to complete your finances

This application cannot be submitted unless finances have been marked as complete by all partners.

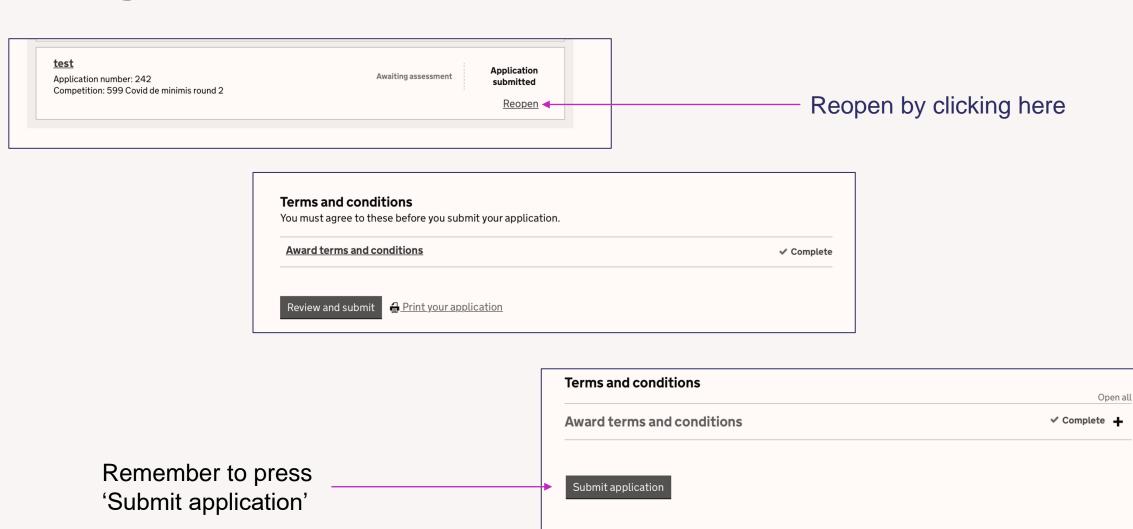
	Total costs	% Grant	Funding sought	sector funding	Contribution to project
<b>~</b>	£230,162	70%	£161,113	£O	£69,049
A	£282,655	70%	£197,859	£0	£84,797
~	£239,114	0%	£0	£0	£239,114
	£751,931		£358,972	£0	£392,959
	Δ	£230,162  £282,655  £239,114	<ul> <li>✓ £230,162 70%</li> <li>⚠ £282,655 70%</li> <li>✓ £239,114 0%</li> </ul>	sought  ✓ £230,162 70% £161,113  ⚠ £282,655 70% £197,859  ✓ £239,114 0% £0	Sought       sector funding         ✓       £230,162       70%       £161,113       £0         ⚠       £282,655       70%       £197,859       £0         ✓       £239,114       0%       £0       £0

All organisations have marked their finances as complete.

IFS DOES NOT VALIDATE TOTAL PROJECT COSTS



## Editing a submitted application



Need help with this service? Contact us

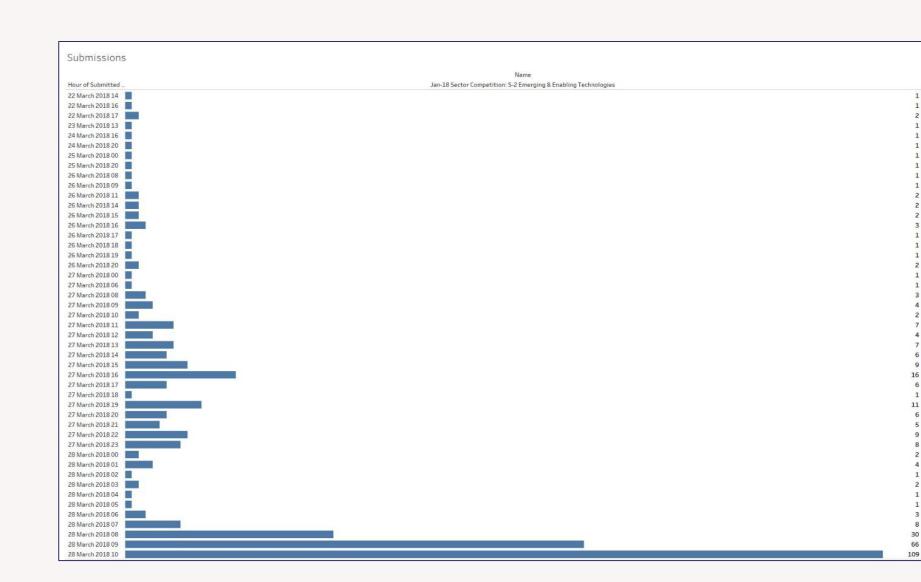


## Submit your application early!

Customer Support can help resolve any issues you might have when submitting but only if they are contacted before the deadline. Once the deadline has passed, your application cannot be submitted.

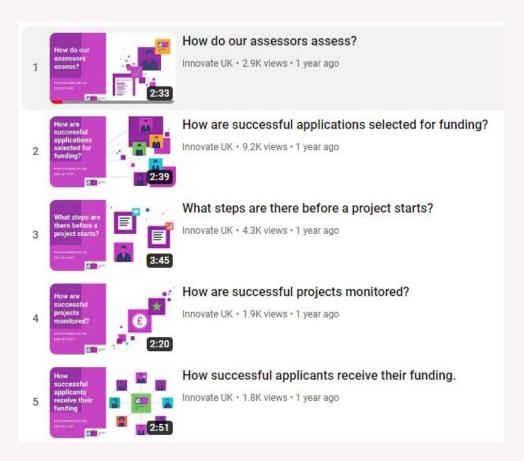
Remember that if there are collaborative partners, it is the lead applicant's responsibility to ensure all collaborative partners have completed their assigned sections and accepted the terms and conditions, before submitting.





## You Tube & Assessment

https://www.youtube.com/playlist?list=PLrMOh OrmeR6lTsx\_bCczEIQTRH6ARdOFk







## How our assessors assess?

All eligible applications are assessed by an independent **pool** of assessors drawn from industry and academia.

We require our assessors to maintain confidentiality and declare any conflicts of interest, ensuring a fair and transparent process.

Assessors are unable to contact you regarding your application content. Therefore, your application should contain:

- a justification for a viable opportunity for growth and a level of innovation that necessitates public investment
- clear and concise answers
- the right amount of information
- no assumptions

We recommend you review the competition brief and guidance for help.



## How are successful applicants selected for funding?

Assessors will score each section of your application.

After the assessor process, Innovate UK will independently moderate assessor scores. Any outliers in scores may be removed and your total score will be updated.

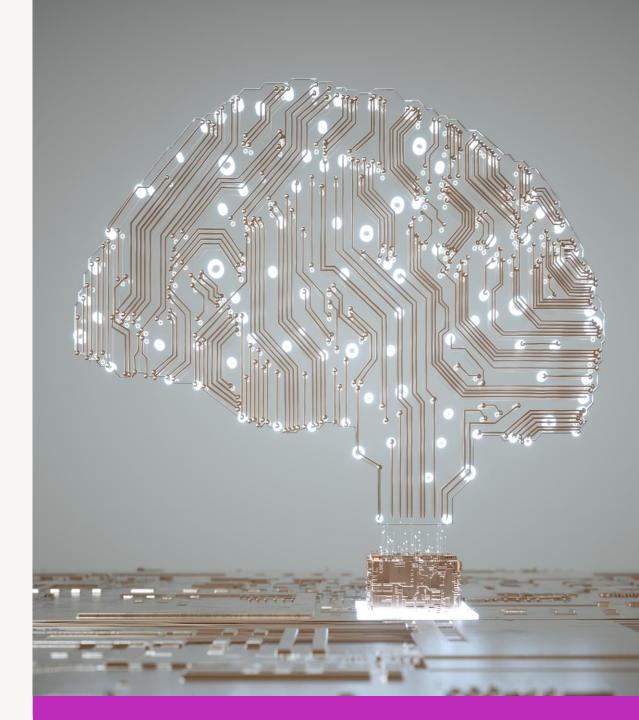
You will then receive email notification to offer grant funding or to inform you that your application has been unsuccessful on this occasion.

Whether successful or unsuccessful in receiving grant funding, you will receive feedback on your application. It is intended to be constructive in nature and to highlight both the strong as well as the weak areas of your application.

For more information on the competition process, please go to: <u>Competition briefing:</u> applicant information - YouTube



# Project setup for successful applicants





## **Notification**

#### If you are unsuccessful in this competition

You can use the feedback from the assessors to develop your idea and apply into another competition that allows previously submitted applications

#### If you are successful in this competition

- you will be assigned a Delivery Executive who will guide you through the Project Set Up process
- you will have 7 days to complete the project team, project details and bank details
- you will then have 90 days to complete project set up funding may be withdrawn if this is not completed within this timeframe

Please ensure all your contact details in the IFS portal are correct and up to date and that you regularly monitor it.



## **Project Set Up (PSU)**

- All communication will be through IFS.
- Lead applicant must provide collaboration agreements and exploitation plans if applicable.
- Any partners with individual total project costs of up to £50,000 must provide evidence with a Statement of Expenditure (SoE).
- Any partners with individual total project costs above £50,000 must provide evidence with an Independent Accountants Report (IAR).

## **Project delivery**

- All grants are paid quarterly in arrears and are only paid following quarterly reporting and necessary audits.
- Claims can only be made for costs incurred and paid between the project start and end dates.
- Monitoring of the project includes a visit from the appointed Monitoring Service Provider (MSP).



## **Additional Support**





## **Equality, Diversity & Inclusion**

- We are on a mission to embed Equality,
   Diversity, and Inclusion in everything we do, internally and externally.
- We believe that great ideas can, and do, come from anyone and everyone.
- We know that diversity and inclusion in businesses contributes to enhanced innovation, satisfaction, performance, and ultimately, commercial success.







## **Available Support**

- We welcome and encourage applications from people of all backgrounds and are committed to making our application process accessible to everyone.
- This includes providing support for people who have a disability or long-term condition and face barriers applying to us.
- So, if you would like any support, please contact our Customer Support Service Team on <u>support@iuk.ukri.org</u> or at 0300 321 4357.





## What to Expect

1.

Contact our
Customer Support
Services Team as
early as possible –
we suggest at least
15 working days
before the deadline

2.

Complete a request form which will be sent to our partner Diversity & Ability (D&A)

3.

D&A will conduct
a Discovery
Conversation with
you and make
reasonable
adjustments
recommendations

4.

D&A will organise and deliver bespoke reasonable adjustments for and with you

**5.** 

Submit your
application –
please do so well
ahead of the
deadline as
extensions cannot
be provided

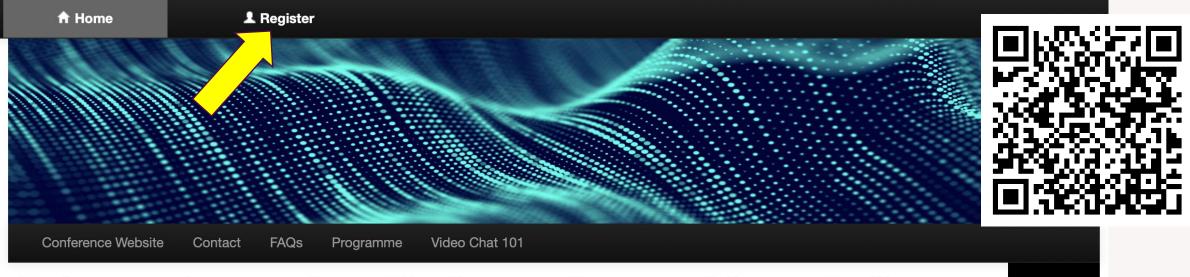




Q&A







# Fairness Innovation Challenge Competition - Online meeting scheduler

Login 💙

Welcome to the online networking page for the 'Fairness Innovation Challenge Competition'. This page will allow you to create profiles to highlight your projects, send messages to other registrants and schedule 1-2-1 meetings with them during allocated time slots.

The aim of this competition is to drive the development of novel solutions to address bias and discrimination in artificial intelligence (AI) systems. Click here to find out more about the available funding.

Information on how to sign up for this event follow below:

Use this website on your computer or mobile internet device. Please click register in the top toolbar to set up your account. If you forget your password after setting it up, click here to reset. Once you have logged in, you can use this online tool to set up meetings and manage your schedule.



## **Contact**

### **Customer Support Services**

0300 321 4357 (Monday - Friday 9am-12pm and 2pm-5pm) support@iuk.ukri.org



Innovate UK
<a href="https://www.ukri.org/councils/innovate-uk">ukri.org/councils/innovate-uk</a>



Innovate UK KTN iuk.ktn-uk.org



Innovate UK EDGE innovateukedge.ukri.org





# Thank You







