



# UK-Germany Bilateral: Collaborative R&D Round 2

Wednesday 13 September 2023

Thank you for joining. The webinar will start at 10:00 AM BST

We are currently on mute, whilst waiting for more people to join

## Welcome and housekeeping



- All participants will be muted throughout the webinar.
- Please use the 'Q & A' box for asking questions. We will answer as many as we have time for in the dedicated Q&A session at the end of the webinar
- For any technical issues, please contact Michael Foster, today's event facilitator, using the Zoom chat.
- The webinar will be recorded, and you will be sent the link, along with any other information, shortly after the webinar.

# Agenda

- **Welcome and introductions**

- **Part 1**

Overview of the programme and scope of competition

- **Part 2**

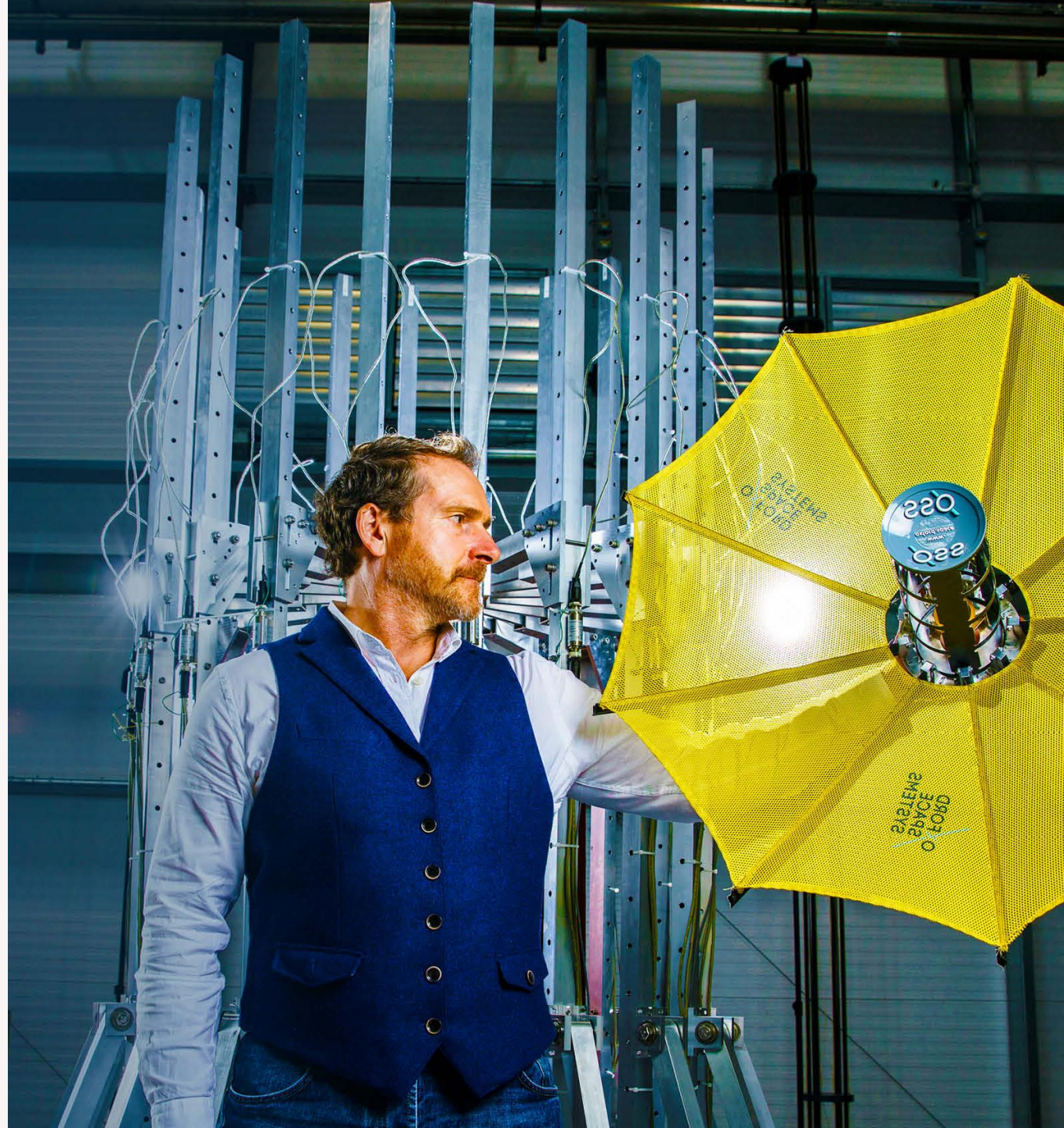
ZIM – Boosting Innovation by Jenny Gudlat

- **Part 3**

Eligibility criteria, the Innovation Funding Service, application finances, academic partners

- **Part 4**

Submitting your application, assessment, project setup for successful applicants and reasonable adjustments, Q&A



# Welcome and Introductions

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# Introduction to Innovate UK and UKRI



# UK Research and Innovation

We work with the government to invest over £7 billion a year in research and innovation by partnering with academia and industry to make the impossible, possible. Through the UK's nine leading academic and industrial funding councils, we create **knowledge with impact.**



**UK Research  
and Innovation**

# Innovate UK

- We are the UK's innovation agency
- We support business-led innovation in all sectors, technologies and UK regions
- A key delivery body of the Government's Innovation Strategy

## Our Mission

To help UK businesses grow through the development and commercialisation of new products, processes, and services, supported by an outstanding innovation ecosystem that is agile, inclusive, and easy to navigate.



# UK ranks 4th in Global Innovation Index



- Innovation accounts for up to 50% of labour productivity growth
- Firms that persistently invest in R&D have higher productivity
- Innovating companies are more likely to export and generate growth



# Overview of the Programme & Scope



Innovate  
UK



# Why is global collaboration important?

- To grow and scale, a business needs to access global markets
- Global R&D and innovation collaborations build stronger and more sustainable relationships
- Early access to global supply chains as they are forming
- No one country or company has all the answers



# Scope

The aim of this competition is to fund business led, collaborative research and development (CR&D) projects focused on innovative industrial research and developed between the UK and Germany.

Your project must demonstrate:

- a clear game-changing or disruptive innovative idea leading to new products, processes or services
- a strong and deliverable business plan that addresses and documents market potential and needs
- sound, practical financial plans and timelines
- good value for money which will always be a consideration in Innovate UK funding decisions
- how you intend to deliver significant economic impact, return on investment (ROI) and growth through commercialisation, within 2 to 3 years of project completion
- clear potential to significantly benefit the UK economy or national productivity
- the benefits of participants from the countries working together and how this adds value
- a clear definition of where intellectual property (IP) can be used and shared between the participants and countries
- a clear route to market within 2 to 3 years of project completion

# Scope

We particularly encourage applications focussing on one or more of the following technologies:

- AI
- Engineering Biology
- Quantum
- Semiconductors
- Future Telecommunications
- Green Technologies

# Scope

For projects focussing on the field of AI, we encourage applications in the areas of:

- Sustainable AI
- Responsible and Ethical AI
- Applications of AI to improve business productivity in the BridgeAI target sectors (agriculture, transport, construction, and creative industries)
- AI for Healthcare

# Scope

For projects focussing on the field of quantum, we particularly encourage projects that:

- involve consortia which span the supply chain of service or component suppliers, integrators, and end user businesses
- progress quantum technology from lab prototypes to industry ready systems for commercial use through integration of innovative and scalable technologies

and that focus on one or more of the following areas:

- sensing and imaging
- component and sub system technologies supporting communications, computing and networking
- scalable solutions and fabrication processes for chip scale quantum photonic and optoelectronic systems
- software hardware codesign for reproducible quantum processor enhanced applications

For Quantum-focussed projects, we will not fund projects that are:

- not covered by any of the four specific themes
- not 'second generation' quantum technologies defined as those involving the generation and coherent control of quantum states, resulting in phenomena such as superposition or entanglement
- feasibility studies or are low maturity in their development
- market research, road-mapping or landscape studies

# Scope

For projects focussing on the field of future telecommunications, we encourage applications in the areas of:

- Non-Terrestrial Communications
- OpenRAN deployments and innovations
- Use of AI and data analytics to improve network efficiency
- Energy efficient technologies
- Neutral Host Networks
- Antenna innovation i.e. Massive MIMO
- Cross border lab collaborations
- Network security

# Scope

For projects focussing on the field of semiconductors, we encourage applications in the areas of:

- Semiconductor Design
- Compound Semiconductors
- Advanced Packaging & Heterogeneous Integration
- Advanced Materials



# Scope

For projects focussing on the field of engineering biology, proposals must align with one of the National Engineering Biology Programme's themes, or focus on the development of *cross cutting technology or service development*.

The themes are:

- Food Systems
- Biomedicine
- Clean Growth
- Environmental Solutions

# Scope

For projects focussing on the field of green technology, we encourage applications in the areas of battery technology:

- advanced battery materials and emerging battery technologies for example, sodium ion, lithium sulfur, solid state
- technologies to achieve 350 Wh/kg and above at pack level
- innovations in battery manufacturing processes
- design innovation for cells, modules, packs
- integration of digitalisation
- advanced predictive analytics and degradation modelling
- sustainable recycling approaches
- technologies for increased safety
- skills development and training

# Scope

We will **not** fund projects that:

- work on non-civilian applications
- are not in scope
- do not meet Innovate UK's eligibility criteria
- do not submit all mandatory documentation
- are dependent on export performance
- are dependent on domestic inputs usage
- do not have a German-registered SME partner as part of the consortium

# B2B Match

- Innovate UK KTN's B2B match platform to enable brokerage and partnership opportunities between UK and German organisations.
- Specific link for this event: [Find partners for UK – Germany Bilateral: Collaborative R&D Round 2 - Home \(b2match.io\)](https://b2match.io)
- Once you register and log in you have access to:
  - View participants' availability
  - Organise 1-2-1 meeting – this is encouraged on our Support Days on 4th and 5th October
- Full instructions can be found on the B2B Match page
- A link is also available on IFS under 'Supporting Information'

# Eligibility criteria



# Previously submitted applications

This competition **does** allow you to submit a previously submitted application.

Previously submitted application	Not a Previously submitted application
A previously submitted application is an application Innovate UK judges as <u>not</u> materially different from one you have submitted before (but it can be updated based on the assessors' feedback)	A brand-new application, project or idea that you have not previously submitted into an Innovate UK competition  OR A previously submitted or ineligible application which: <ul style="list-style-type: none"><li>✓ has been updated based on assessor feedback</li><li>✓ <u>and</u> is materially different from the application submitted before</li><li>✓ <u>and</u> fits with the scope of this competition</li></ul>

# Eligibility criteria

Project eligibility	<ul style="list-style-type: none"><li>• open to UK and German collaborations only</li><li>• lead must be a UK registered business of any size</li><li>• lead must collaborate with at least one German registered SME <u>applying to the German ZIM programme</u>, which must be a separate legal entity, not linked to the UK partners</li><li>• lead must be or involve at least one grant claiming UK registered micro, small or medium-sized enterprise (SME)</li><li>• UK project partners must carry out the majority of their project work in the UK and intend to exploit the results from or in the UK</li><li>• start by <b>1 July 2024</b></li><li>• end by <b>30 June 2027</b></li><li>• project must demonstrate a balanced technological contribution by the participants from both countries and must be equally significant to all participants.</li><li>• proposal must be successful with applications from both sides of the consortium</li></ul>
Total grant	up to <b>£750,000</b> for all UK partners
Project length	between <b>12 and 36</b> months

# Types of organisation we fund

- Business – Small or Micro, Medium or Large registered in the UK
- Research Organisation (RO):
  - Universities (HEIs)
  - Not for profit distributing Research & Technology Organisation (RTO) including Catapults
  - Public Sector Research Establishments (PSRE)
  - Research Council Institutes (RCI)
- Public sector organisations and charities doing research activity

If you are 100% owned by a large parent company as a small subsidiary this means you are classed as a large company and will only be entitled to the relevant grant. For more information on company sizes, please refer to the [company accounts guidance](#).



# Compliance with the UK Subsidy Control Act 2022

The Subsidy Control Act represents a development of the UK subsidy control regime that came into effect at the end of 2020 as part of the implementation of the UK's commitments in the EU-UK Trade and Cooperation Agreement (TCA), this was officially recognised in April 2022. Please see the Subsidy Control Act Legislation : <https://www.legislation.gov.uk/ukpga/2022/23/enacted> and [https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment\\_data/file/1117122/uk-subsidy-control-statutory-guidance.pdf](https://assets.publishing.service.gov.uk/government/uploads/system/uploads/attachment_data/file/1117122/uk-subsidy-control-statutory-guidance.pdf) .

The set rules (typically GBER) which we previously relied on for the limits of what we could award, have now been replaced by internal decisions based on the new Subsidy Control Act 2022, and on policy, which will in turn set out bespoke eligibility requirements for each funding opportunity.

Innovate UK is offering funding for this competition in line with the UK's obligations and commitments to the Subsidy Control Act 2022. To ensure that Innovate UK remains compliant with the UK's international Subsidy Control Act 2022 duties in respect of:

- the EU-UK Trade and Cooperation Agreement;
- Article 10 of the Northern Ireland Protocol (successful applicants which are affected by the Northern Ireland Protocol will be funded in line with EU State aid regulations)
- Article 138 of the Withdrawal Agreement (some Union law applicable after 31 December 2020 in relation to the UK's participation in Union programmes and activities)
- the Subsidies and Countervailing measures within the WTO (ASCM)
- any other Free Trade Agreements active at the time of award

All awards will be conditional on compliance at all times with the UK's International obligations on Subsidy Control Act 2022 - this will be reflected in the terms and conditions of any award.

# Due diligence for UK Subsidy Control Act 2022

Under the Subsidy Control Act 2022, we will carry out financial health checks and ongoing concern assurances on your organisation.

## Certify you are eligible

When submitting an application, you must certify that you are eligible for funding. If you are unsure, please take independent legal advice before applying. Should you be successful, we will complete these financial checks and assurances before confirming the grant offer.

- For more information on company sizes, please refer to the [Company accounts guidance](#).
- Further information is available on our website in the general guidance.

# Eligibility Criteria - EU State Aid Regulations – Northern Ireland Protocol

If you are an applicant who is conducting activities that will affect trade of goods and/or electricity between Northern Ireland and the EU as envisaged by [Article 10 of the Northern Ireland protocol](#), then you must apply under European Commission State aid rules.

## Undertaking in Difficulty

For applicants subject to the European Commission State aid rules, you will be required to prove that they were not an “Undertaking in Difficulty” (UiD). We will ask for evidence of this.

This test applies to:

- companies that are more than 3 years old
- companies where more than half of its subscribed share capital has disappeared as a result of accumulated losses.
- your parent or holding company

## Certify you are eligible

When submitting an application, you must certify that you are eligible for State aid. If you are unsure, please take legal advice before applying. Should you be successful, we will apply this test as part of our viability checks before confirming the grant offer.

Further information is available on our website in the [general guidance under state aid](#).

If you are applying for an award funded under State aid Regulations, the definitions for company size are set out in the [European Commission Recommendation](#) of 6 May 2003.

# Eligibility Criteria: Funding Opportunities

Funding for R&D projects split in to 3 categories; feasibility studies, industrial research and experimental development.

This competition is eligible for industrial research and experimental development projects.

**For industrial research projects, you could get funding for your eligible project costs of:**

- up to 70% if you are a micro or small organisation
- up to 60% if you are a medium-sized organisation
- up to 50% if you are a large organisation

**For experimental development projects which are nearer to market, you could get funding for your eligible project costs of:**

- up to 45% if you are a micro or small organisation
- up to 35% if you are a medium-sized organisation
- up to 25% if you are a large organisation

**For research organisations conducting fundamental research you could get funding for your eligible project costs of up to 100%.**

For general guidance on what our research categories are please visit:

<https://www.ukri.org/councils/innovate-uk/guidance-for-applicants/general-guidance/categories-of-research-and-development/#contents-list>

# Participation Rules

**The aim of this funding opportunity is to:**

- support UK and German business-led collaboration in innovation with grant funding
- support UK businesses to collaborate successfully with German counterparts and build global growth prospects

Your project must demonstrate a balanced technological contribution by the participants from both countries and must be equally significant to all participants.

The UK research organisations undertaking non-economic activity as part of the project can share up to **30%** of the total project costs. If your consortium contains more than one research organisation undertaking non-economic activity, this maximum is shared between them.

Each country will fund its eligible participants according to their national procedure and funding rules. Funding conditions and eligibility criteria may vary between UK and Germany.

# German organisations

- Each German project partner must submit a separate, individual ZIM application to BMWK.
- Every German SME, in accordance with the relevant EU regulations, are eligible to apply.
- Other medium-sized companies with less than 1,000 employees are eligible to apply for funding if they cooperate with at least one SME (EU definition) whose project is being funded.
- Research and technology organisations (RTOs) are eligible to apply as cooperation partners of eligible companies.
- The ZIM application must comply with the ZIM regulations and be written in German. If you do not have previous experience of ZIM applications, we recommend you contact BMWK at least 6 weeks prior to the deadline for guidance.

# What is collaboration?

In all collaborative projects there must be:

- a UK registered business as lead, collaborating with a German registered business who has applied into the German ZIM programme
- a business led consortium, which may involve both business and a research base
- evidence of effective collaboration

We would expect to see the structure and rationale of the collaboration described in the application.

# Making more than one application

- An eligible UK business can lead on one application and collaborate on two further applications
- If an organisation is not leading any application, it can collaborate in up to three applications



# Other Innovate UK projects

If you have an outstanding final claim or Independent Accountant Report (IAR) on a live Innovate UK project, you will not be eligible to apply to this competition, as a lead or a partner organisation.

We will not award you any further funding if you:

- applied to a previous competition as the lead or sole company and were awarded funding by Innovate UK, but did not make a substantial effort to exploit that award
- applied to a previous competition as the lead or sole company and failed to comply with grant terms and conditions.

# Key Dates

Timeline	Dates
Competition Opens	4 September 2023
Briefing Event	13 September 2023
Submission Deadline	6 December 2023, <b>11:00am</b>
Applicants informed	3 April 2024



# **Innovation Funding Service (IFS)**

# Search for a funding competition and review criteria

## Funding opportunity

### UK-Germany bilateral: collaborative research and development round two

Opportunity status:	Open
Funders:	<a href="#">Innovate UK</a>
Co-funders:	The Federal Ministry for Economic Affairs and Climate Action
Funding type:	Grant
Total fund:	£4,000,000
Publication date:	31 August 2023
Opening date:	4 September 2023 9:30am UK time
Closing date:	6 December 2023 11:00am UK time

See the [full opportunity details on the Innovation Funding Service](#).

UK registered organisations can apply for a share of up to £4 million for projects resulting in innovative solutions for emerging technologies. You must collaborate with at least one German small or medium-sized enterprise (SME) applying under the equivalent German Central Innovation Programme for SMEs (ZIM) programme.

## Funding competition

### UK - Germany Bilateral: Collaborative R&D Round 2

UK registered organisations can apply for a share of up to £4 million for projects resulting in innovative solutions for emerging technologies. You must collaborate with at least one German SME applying under the equivalent German ZIM programme.

**Competition opens:** Monday 4 September 2023

**Competition closes:** Wednesday 6 December 2023 11:00am

[Start new application](#)

Or go to [your dashboard](#) to continue an existing application.

Summary

[Eligibility](#)

[Scope](#)

[Dates](#)

[How to apply](#)

[Supporting information](#)

#### Description

Innovate UK, part of UK Research and Innovation, will work with [The Federal Ministry for Economic Affairs and Climate Action \(BMWK\)](#), to invest up to £4 million in innovation projects.

We encourage innovation in, but not limited to, the following fields of emerging technologies:

- quantum
- AI
- semiconductor applications
- engineering biology
- future telecommunications
- green technologies

# Lead Applicant: create an account

The lead applicant must create an account:

## UK registered businesses

Use Companies House lookup as it speeds up our checks by providing your company number. You are unable to enter this at a later date.

## Research organisations, academics and universities

Enter your information manually so you are not listed as a business on IFS and ensure you receive the correct funding.



GOV.UK Innovation Funding Service

BETA This is a new service – your [feedback](#) will help us to improve it.

← Back

Create your account

### Your organisation

**i** Your organisation must be UK based to receive funding from Innovate UK

**Business**

**Find your organisation on Companies House**  
Enter your organisation name or registration number

**Companies House search results**  
Select your organisation from the options below

[NOMENSA LTD](#)  
04214477 - Incorporated on 10 May 2001  
13 Queen Square, Bristol, BS1 4NT

GOV.UK Innovation Funding Service

BETA This is a new service – your [feedback](#) will help us to improve it.

← Back

## Please sign in or create an account

**Used this service before?**  
Please sign into your Innovation Funding Service account.

**New to this service?**  
If you haven't used the new Innovation Funding Service before you will need to create an account.

Innovation Funding Service

## Sign in

Email address  
Please enter your email address.

Password  
Please enter your password.

▼ [Need help signing in or creating an account?](#)

**My email and/or password isn't working**

If you applied previously using the old service, you will need to create a new account.

[Forgotten your password?](#)

# Project Details

- **Application Team** - Collaborators can invite organisations who you are working with on the project. Contributors can invite colleagues from your own organisation to help you complete your application. All team members and Lead applicants must complete the Equality, Diversity and Inclusion survey embedded in IFS as part of your application.
- **Application Details** - Title, timescales, research category, and innovation area
- **Subsidy basis** - Will the project, including any related activities, you want Innovate UK to fund, affect trade between Northern Ireland and the EU? All participants must complete this section.
- **Project Summary** - Short summary and objectives of the project including what is innovative about it
- **Public Description** - Description of your project which will be published if you are successful
- **Scope - How does your project align with the scope of this competition?** - If your project is not in scope, it will be ineligible for funding

# Application Questions

Detailed guidance available on IFS

Application Form		Appendix?
Question 1	Applicant location (not scored)	No
Question 2	Opportunity awareness (not scored)	No
Question 3	Permits and licences (not scored)	No
Question 4	Need or challenge	No
Question 5	Approach and innovation	Yes - optional
Question 6	Team and resources	Yes - optional
Question 7	Market awareness	No
Question 8	Outcomes and route to market	No
Question 9	Wider impacts	No
Question 10	Project management	Yes - mandatory
Question 11	Risks	Yes - mandatory
Question 12	Added value	No
Question 13	Costs and value for money	No



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# Project Impact questions

- Each organisation in your application will complete the Project Impact questions within the 'Supporting information' section
- The Project Impact questions ask for data about your business and innovation and its contribution to the UK economy, society, and the environment
- Visit the [Project Impact guidance](#) page for more information, the types of questions you will be asked and how to get further support
- By providing this data, you are enabling us to better understand the impact of our support. It will help us identify success stories and provide evidence to government and the public of the value of supporting innovative businesses

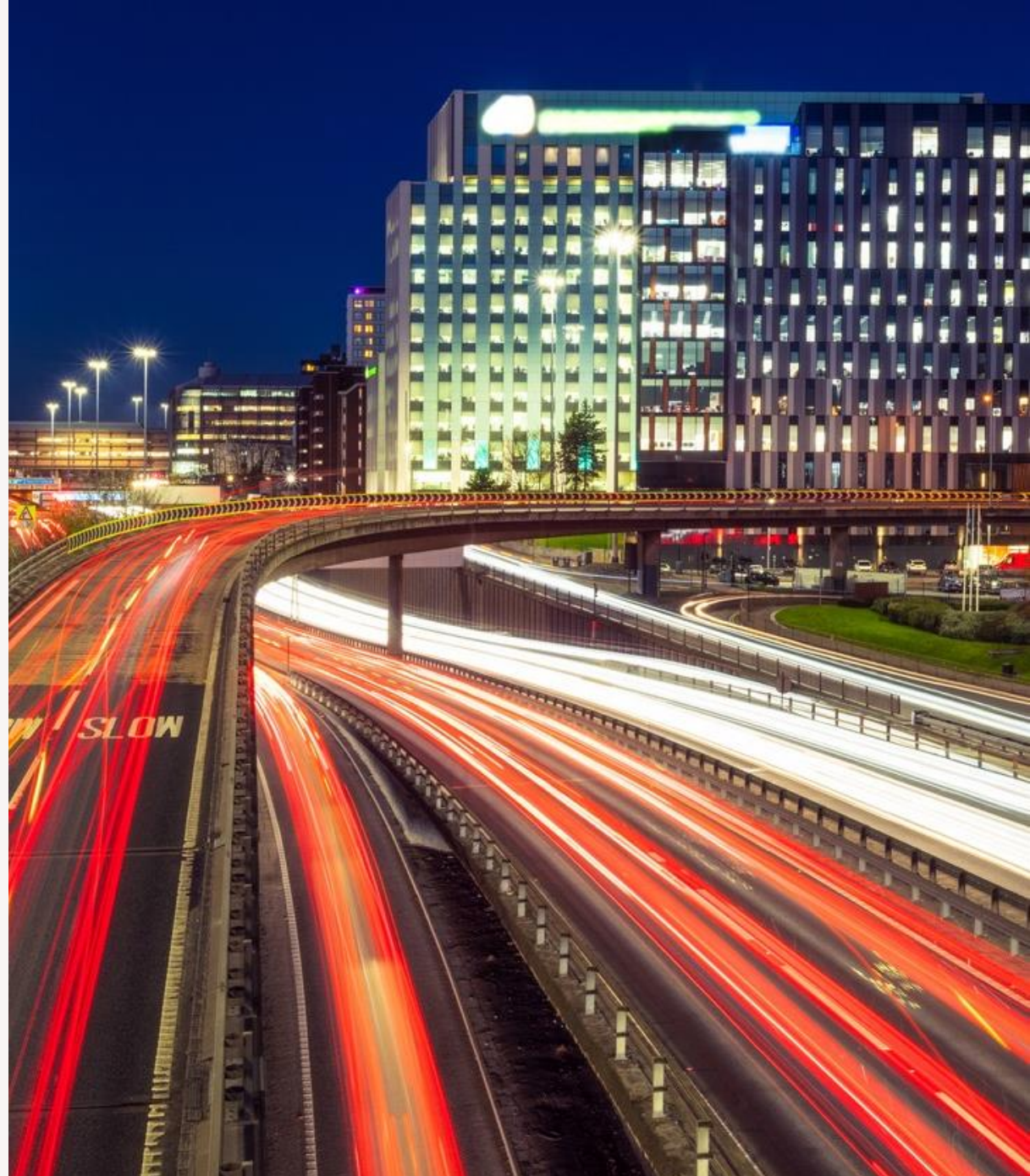


## For more information:

- Watch Our Impact Management Framework video [here](#)
- Watch How is the Project Impact data collected? video [here](#)



# Application finances



# To claim funding

Your business does not have to be UK registered with Companies House when you apply but it must be registered before you can receive funding.

You are unable to claim funding if:

- you are an overseas organisation, so your company number begins with FC
- your organisation is setup as a branch, so your company number begins with BR
- you are a collaboration with no formal structure of ownership or control, so your company number begins with ML
- you are a Crown Dependency:
  - if your company is based in Jersey, your company number begins with JE
  - if your company is based in Guernsey
  - if your company is based in the Isle of Man

# British Overseas Territories

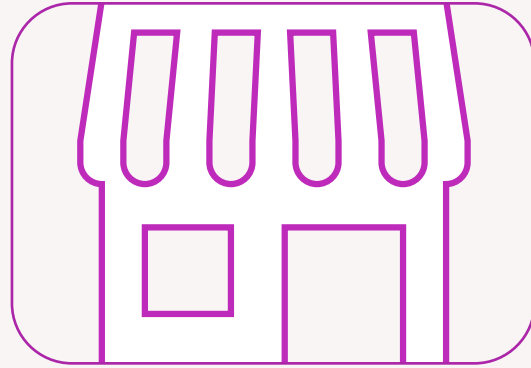
You are also unable to claim funding if your company is based in any of the British Overseas Territories (BOTs):

- Anguilla
- Bermuda
- British Antarctic Territory
- British Indian Ocean Territory
- British Virgin Islands
- Cayman Islands
- Falkland Islands
- Gibraltar
- Montserrat
- Pitcairn Islands
- Saint Helena, Ascension and Tristan da Cunha
- South Georgia and the South Sandwich Islands
- Turks and Caicos Islands

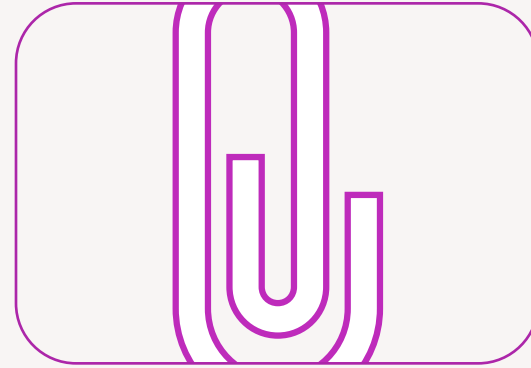
# Your Project Cost Categories



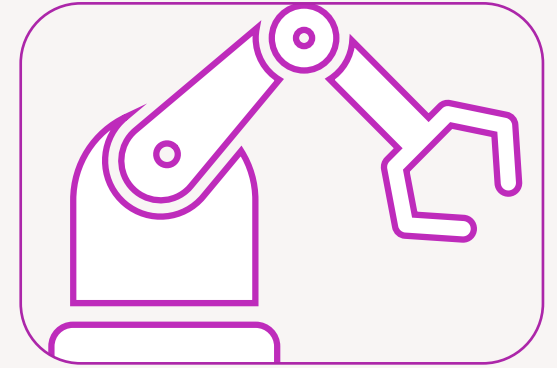
Labour



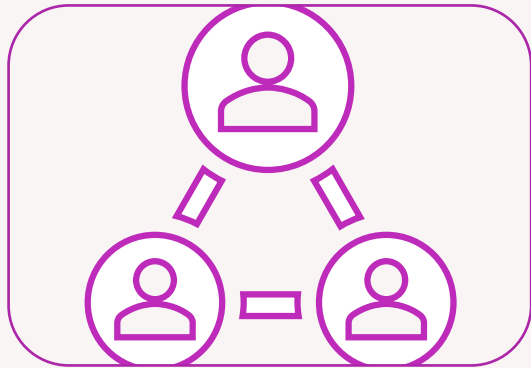
Overheads



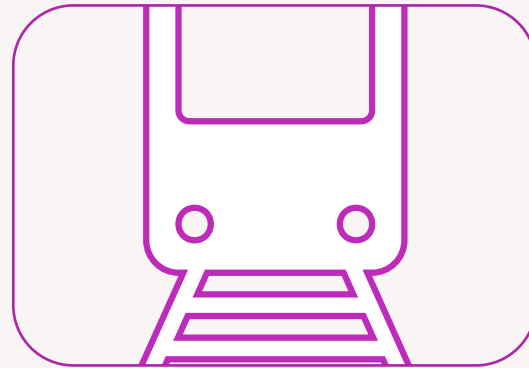
Materials



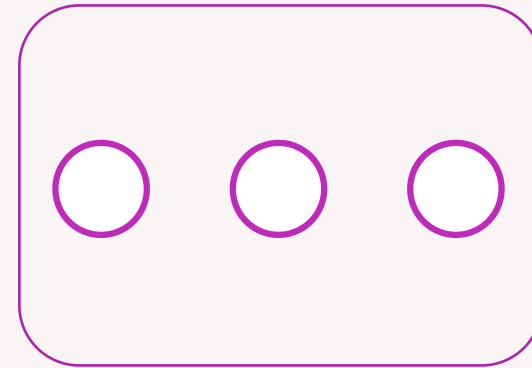
Equipment Usage



Subcontractors



Travel & Subsistence



Other

# Project cost summary

## Project cost breakdown

	Total	Labour	Overhead costs	Materials	Capital usage	Subcontracting costs	Travel and subsistence	Other costs
<b>Barry Shaw Experts Ltd</b> Partner	<b>£230,162</b>	£84,052	£16,810	£90,550	£0	£35,000	£3,750	£0
<b>EMPIRE LTD</b> Lead organisation	<b>£331,442</b>	£222,414	£44,483	£11,750	£13,000	£36,795	£3,000	£0
<b>University of Bath</b> Partner	<b>£19,762</b>	£8,104	£5,731	£412	£0	£0	£504	£5,011
<b>Total</b>	<b>£581,366</b>	£314,570	£67,024	£102,712	£13,000	£71,795	£7,254	£5,011

This is the level of cost detail that the assessors will see during the assessment process.

There is no limit on project costs.

All organisations can see a summary of project costs.

# Grant requested

		Total costs	% Grant	Funding sought	Other public sector funding	Contribution to project
<b>Barry Shaw Experts Ltd</b> Partner	✓	£230,162	70%	£161,113	£0	£69,049
<b>EMPIRE LTD</b> Lead organisation	✓	£282,655	70%	£197,859	£0	£84,797
<b>University of Bath</b> Partner	✓	£239,114	0%	£0	£0	£239,114
<b>Total</b>		<b>£751,931</b>		<b>£358,972</b>	£0	£392,959

You must make sure your total at the bottom of the funding sought column is no more than **£750,000**

Research organisation participation must be no more than **30%** of the total project costs.

# Funding

## Funding rules

The level of funding awarded will depend upon the type of organisation and the type of research being undertaken in the project

Funding is calculated by project participant

IFS will advise the maximum grant % you can request based upon your answers to:

- type and size of organisation
- research category defined by the lead applicant in the Application Details section of the application



Organisation or type of activity	Industrial research	Experimental development
Business (economic activity)	Micro or Small – 70% Medium – 60% Large – 50%	Micro or Small – 45% Medium – 35% Large – 25%
Research organisation (non-economic activity)	Universities – 100% (80% of Full Economic Costs)  Other research organisations can claim 100% of their project costs	Other research organisations must: <ul style="list-style-type: none"> <li>• be non-profit distributing and</li> <li>• disseminate the project results and</li> <li>• explain in the application form how this will be done</li> </ul>
Public Sector Organisation or Charity (non-economic activity)	100% of eligible costs	Must: <ul style="list-style-type: none"> <li>• be performing research activity and</li> <li>• disseminate project results and explain in the application form how this will be done</li> <li>• ensure that the eligible costs <u>do not include work / costs already funded</u> from other public sector bodies</li> </ul>
Research organisations (undertaking economic activities) Organisations receive funding related to the size of their organisation	Micro or Small – 60% Medium – 60% Large – 50%	Micro or Small – 45% Medium – 35% Large – 25%



# Consortium

**Worked example – For applications who can apply for a maximum grant of up to £750,000**

Project costs for 5 partners (2 SME, 1 University, a Catapult and 1 large), doing industrial research.

Organisation type	Organisation size	Total eligible project costs	Maximum % of project costs which may be claimed as a grant	Requested grant amount	Required match funding contribution
Business	Small	£150,000	70%	£105,000	£45,000
Business	Medium	£250,000	60%	£150,000	£100,000
Business	Large	£450,000	50%	£225,000	£225,000
University	HEI (80% FEC)	£100,000	100%	£100,000	nil**
Catapult	RTO	£150,000	100%	£150,000	nil
<b>Total</b>		<b>£1,100,000</b>		<b>£730,000</b>	<b>£370,000</b>

\*\* 20% FEC not to be shown as a contribution

Research base costs	£250,000
Contribution rate of total eligible projects costs (cannot exceed <u>30%</u> )	<u>23%</u>

# Academic partners



# Why Je-S?

We use the Research Councils' Joint Electronic Submission System (Je-S) to collect academic finances.

The Je-S system automates the collection of Full Economic Costs (FEC) based costs from academic partners and tells them exactly what numbers should be used in the application form for their costs.

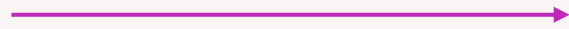
Also to collect project finance details from non-HEIs (e.g. RTOs) that are claiming they are carrying out academic quality work and want to be funded on an FEC basis.

Using Je-S means that Innovate UK follows standard Research Council guidelines on funding universities and enables Research Councils to easily co-fund Innovate UK projects.

**The Je-S system is completely separate from Innovate UK and we cannot advise on its usage.**

# Project costs – academic partners

Enter the TSB reference number here



Enter the TSB Contribution column figures from *your* J-eS output document into the project costs section of the application.

Upload the Je-S with council status form as a PDF at the bottom of the screen.

**Any queries, contact Je-S Helpdesk (not Innovate UK)**

- [jeshelp@je-s.ukri.org](mailto:jeshelp@je-s.ukri.org)
- 01793 444164

<b>TSB reference</b> This number is found at the top of your Je-S form	
<input type="text" value="My REF"/>	
<b>Financial resources</b> Please enter the following costs from the summary of resources section on your Je-S form	
<b>Directly incurred</b>	<b>TSB Contribution (please refer to the TSB contribution column)</b>
Staff	<input type="text" value="11"/>
Travel & subsistence	<input type="text" value="22"/>
Other costs	<input type="text" value="33"/>
<b>Subtotal</b>	<input type="text" value="£ 66"/>
<b>Directly allocated</b>	
Investigators	<input type="text" value="44"/>
Estates Costs	<input type="text" value="55"/>
Other costs	<input type="text" value="66"/>
<b>Subtotal</b>	<input type="text" value="£ 165"/>
<b>Indirect costs</b>	<input type="text" value="77"/>
<b>Exceptions</b>	
Staff	<input type="text" value="88"/>

# Submitting your application



# Checking your finances are complete

## Finances Summary

The following organisations have not marked their finances as complete:

- EMPIRE LTD



[Return to the finances section to complete your finances](#)

This application cannot be submitted unless finances have been marked as complete by all partners.

		Total costs	% Grant	Funding sought	Other public sector funding	Contribution to project
<b>Barry Shaw Experts Ltd</b> Partner	✓	£230,162	70%	£161,113	£0	£69,049
<b>EMPIRE LTD</b> Lead organisation	⚠	£282,655	70%	£197,859	£0	£84,797
<b>University of Bath</b> Partner	✓	£239,114	0%	£0	£0	£239,114
<b>Total</b>		<b>£751,931</b>		£358,972	£0	£392,959

All organisations must have marked their finances as complete.

# Editing a submitted application

**test**  
Application number: 242  
Competition: 599 Covid de minimis round 2

Awaiting assessment

**Application submitted**

[Reopen](#)

Reopen by clicking here

**Terms and conditions**  
You must agree to these before you submit your application.

[Award terms and conditions](#) ✓ Complete

[Review and submit](#) [Print your application](#)

Remember to press  
'Submit application'

**Terms and conditions** Open all

[Award terms and conditions](#) ✓ Complete +

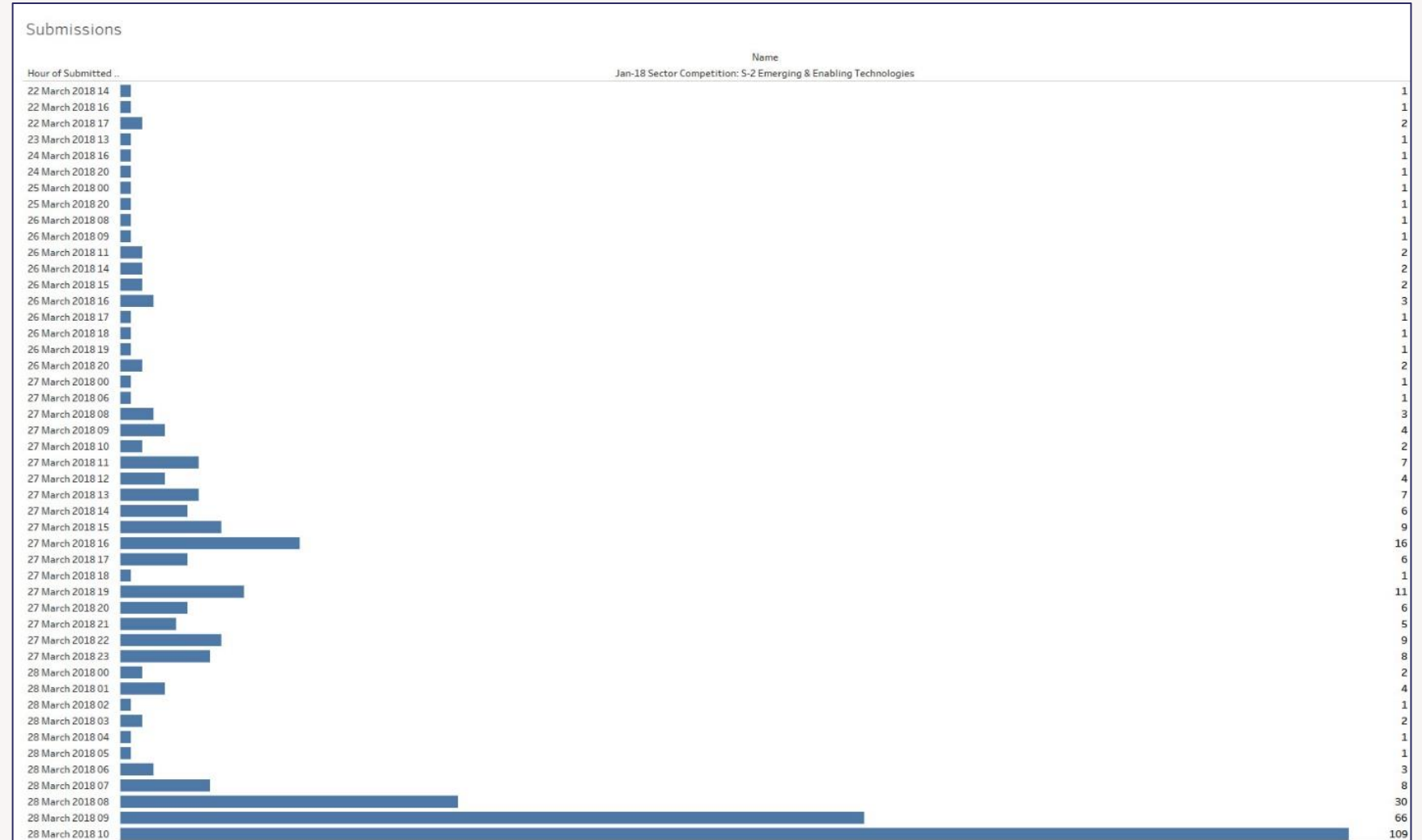
[Submit application](#)

Need help with this service? [Contact us](#)

# Submit your application early!

Customer Support can help resolve any issues you might have when submitting, but only if they are contacted **before** the deadline.

Once the deadline has passed, your application cannot be submitted.





# Assessment



# How our assessors assess?

All applications are assessed by an independent **pool** of assessors drawn from industry and academia.

We require our assessors to maintain confidentiality and declare any conflicts of interest, ensuring a fair and transparent process.

Assessors are unable to contact you regarding your application content. Therefore, your application should contain:

- a justification for a viable opportunity for growth and a level of innovation that necessitates public investment
- clear and concise answers
- the right amount of information
- no assumptions

We recommend you review the competition brief and guidance for help.

See the Innovate UK YouTube channel for more information:

<https://www.youtube.com/watch?v=nHZNpRSwgel>

# How are successful applicants selected for funding?

Assessors will score each section of your application.

After the assessor process, Innovate UK will independently moderate assessor scores. Any outliers in scores may be removed and your total score will be updated.

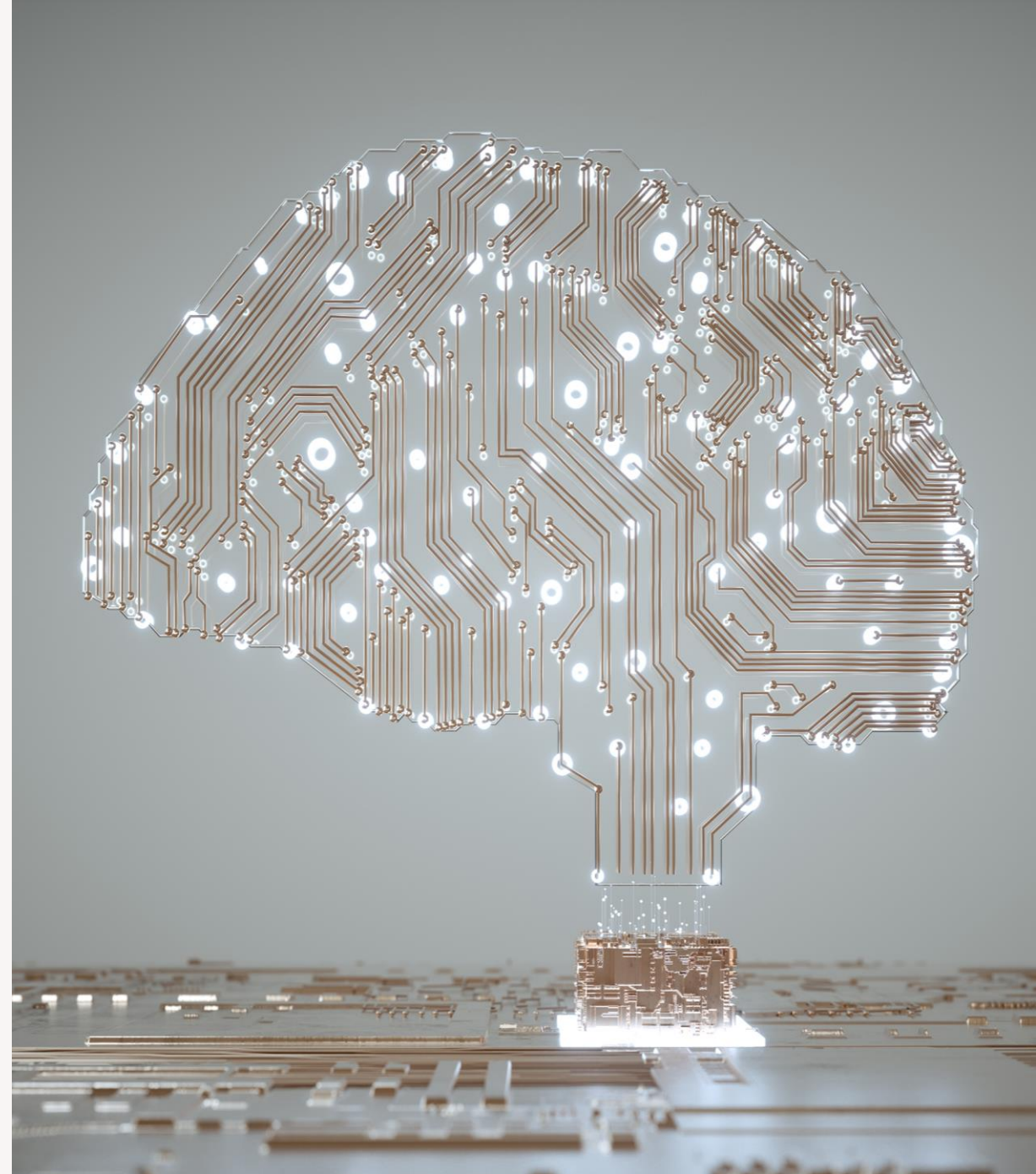
You will then receive email notification to offer grant funding or to inform you that your application has been unsuccessful on this occasion.

Whether successful or unsuccessful in receiving grant funding, you will receive feedback on your application. It is intended to be constructive in nature and to highlight both the strong as well as the weak areas of your application.

See the Innovate UK YouTube channel for more information:

<https://www.youtube.com/watch?v=xyHggGjxeCU>

# Project setup for successful applicants



# Notification

## If you are unsuccessful in this competition

You can use the feedback from the assessors to develop your idea and apply into another competition that allows previously submitted applications.

## If you are successful in this competition

- you will be assigned a Delivery Executive who will guide you through the Project Set Up process
- you will have 7 working days to complete the project team, project details and bank details
- in total you will have 90 days to complete project set up – funding may be withdrawn if this is not completed within this timeframe

**Please ensure all your contact details in the IFS portal are correct and up to date and that you regularly monitor it.**

# Project set up

- All communication will be through IFS.
- Lead applicant must provide collaboration agreements and exploitation plans if applicable.
- Any partners with individual total project costs of **up to** £50,000 must provide evidence with a Statement of Expenditure (SoE).
- Any partners with individual total project costs **above** £50,000 must provide evidence with an Independent Accountants Report (IAR).

# Project delivery

- All grants are paid **quarterly in arrears** and are only paid following quarterly reporting and necessary audits.
- Claims can only be made for costs incurred and paid between the project start and end dates.
- Monitoring of the project includes a meeting with the appointed Monitoring Officer.

# Additional Support



# Equality, Diversity & Inclusion

- We are on a mission to embed Equality, Diversity, and Inclusion in everything we do, internally and externally.
- We believe that great ideas can, and do, come from anyone and everyone.
- We know that diversity and inclusion in businesses contributes to enhanced innovation, satisfaction, performance, and ultimately, commercial success.





# Available Support

- We welcome and encourage applications from people of all backgrounds and are committed to making our application process accessible to everyone.
- This includes providing support for people who have a disability or long-term condition and face barriers applying to us.
- So, if you would like any support, please contact our Customer Support Service Team on [support@iuk.ukri.org](mailto:support@iuk.ukri.org) or at **0300 321 4357**.



# Innovate UK EDGE

*Bespoke growth and scaling support at the business end of innovation*

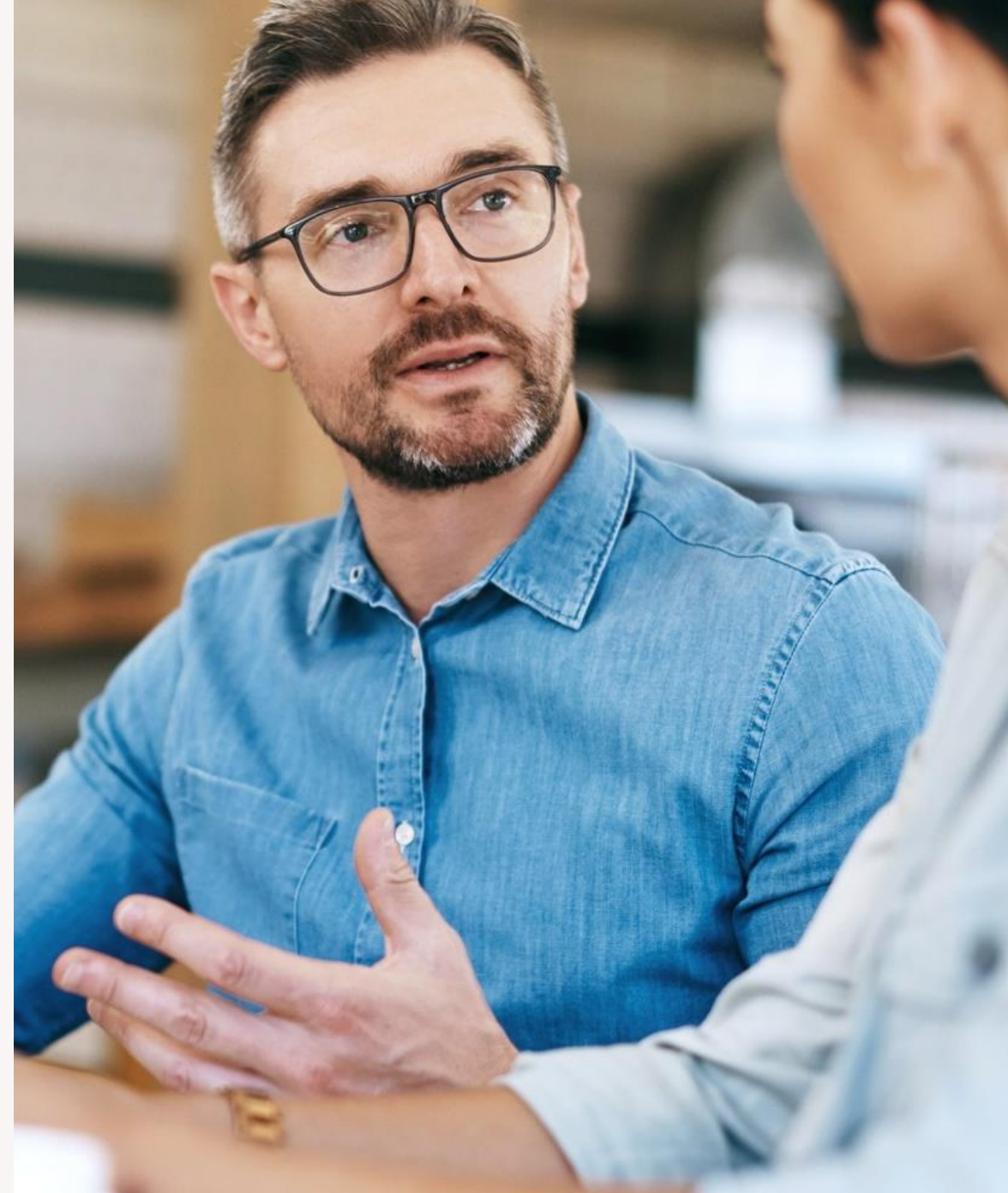
- Intensive growth and scaling support tailored to the needs of each of our ambitious innovation-driven clients
- Offered alongside project funding to Innovate UK award winners, most of whom engage us. We are also available to all high growth potential innovative small or medium-sized businesses\*
- Engagement at early, growth and scaling stages, with our core high growth service and enhanced Scaleup Programme
- Delivered by 290 innovation and growth specialists embedded in regional ecosystems across the UK, including a board of expert scaleup directors

*\*subject to eligibility and currently in all nations and regions outside Scotland*

# Innovate UK EDGE

An innovation and growth specialist, or scaleup directors, work with a company's leadership to hone its commercial strategy and help it take targeted action to, for example:

- Build investment readiness
- Manage innovation effectively
- Enter global markets
- Providing local to national to international growth and scaling support



# B2B Match

- Innovate UK KTN's B2B match platform to enable brokerage and partnership opportunities between UK and German organisations.
- Specific link for this event: [Find partners for UK – Germany Bilateral: Collaborative R&D Round 2 - Home \(b2match.io\)](https://b2match.io)
- Once you register and log in you have access to:
  - View participants' availability
  - Organise 1-2-1 meeting
- Full instructions can be found on the B2B Match page
- A link is also available on IFS under 'Supporting Information'

# Q&A session

Please submit your questions for our speakers using the Q&A icon

[www.ktn-uk.org](http://www.ktn-uk.org)



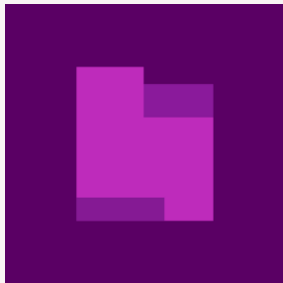
InnovateUK  
KTN

# Contact

## Customer Support Services

0300 321 4357 (Monday - Friday 9-5pm)

[support@iuk.ukri.org](mailto:support@iuk.ukri.org)



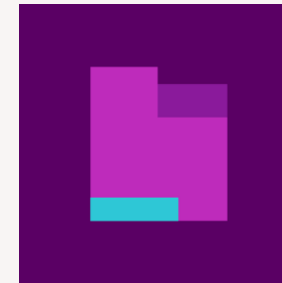
**Innovate UK**

[ukri.org/councils/innovate-uk](https://ukri.org/councils/innovate-uk)



**Innovate UK KTN**

[iuk.ktn-uk.co.uk](https://iuk.ktn-uk.co.uk)



**Innovate UK EDGE**

[innovateukedge.ukri.org](https://innovateukedge.ukri.org)

# Thank you for attending