Research Starter Briefing Event

1st February 2023

Joanna Scales | Pedro Carvalho

All participants will be muted.

For technical problems, use the chat box to seek advice.

Use the Q&A box to ask the presenters questions.

UKRI Innovate UK KTN

Aim of today's event

Understand the competition

Hear from other farmers

Find out where to access support

Ask your questions

UKRI Innovate UK KTN

Agenda

10:00 – 10:10 **Welcome & Introduction**
Pedro Carvalho - Innovate UK KTN

10:10 – 10:35 **Competition Overview & Scope + Q&A**
Robert Crook - Innovation Lead, Innovate UK

10:35 – 11:00 **Competition Briefing**
Sebastian Leonard - Portfolio Manager, Innovate UK

11:00 – 11:05 **Support from Innovate UK KTN**
Pedro Carvalho - Innovate UK KTN

11:05 – 11:30 **A Farmers' Perspective with Joanna Scales**
Matt Smith - Trefranck Farm
Dale Holloway - NP Holloway & Son

11:30 – 11:45 **Q&A session**

11:45 – 11:50 **Closing remarks**

UKRI Innovate UK KTN

How we can help

Make powerful connections

Secure funding

Get expert insight

Keep up To date

Innovate UK KTN

We have deep expertise in AgriFood

Livestock & Aquaculture

Crops

Food

Innovate UK KTN

Competition Overview & Scope

Robert Crook - Innovation Lead, Innovate UK

Innovate UK
KTN

Department for Environment Food & Rural Affairs

UK Research and Innovation

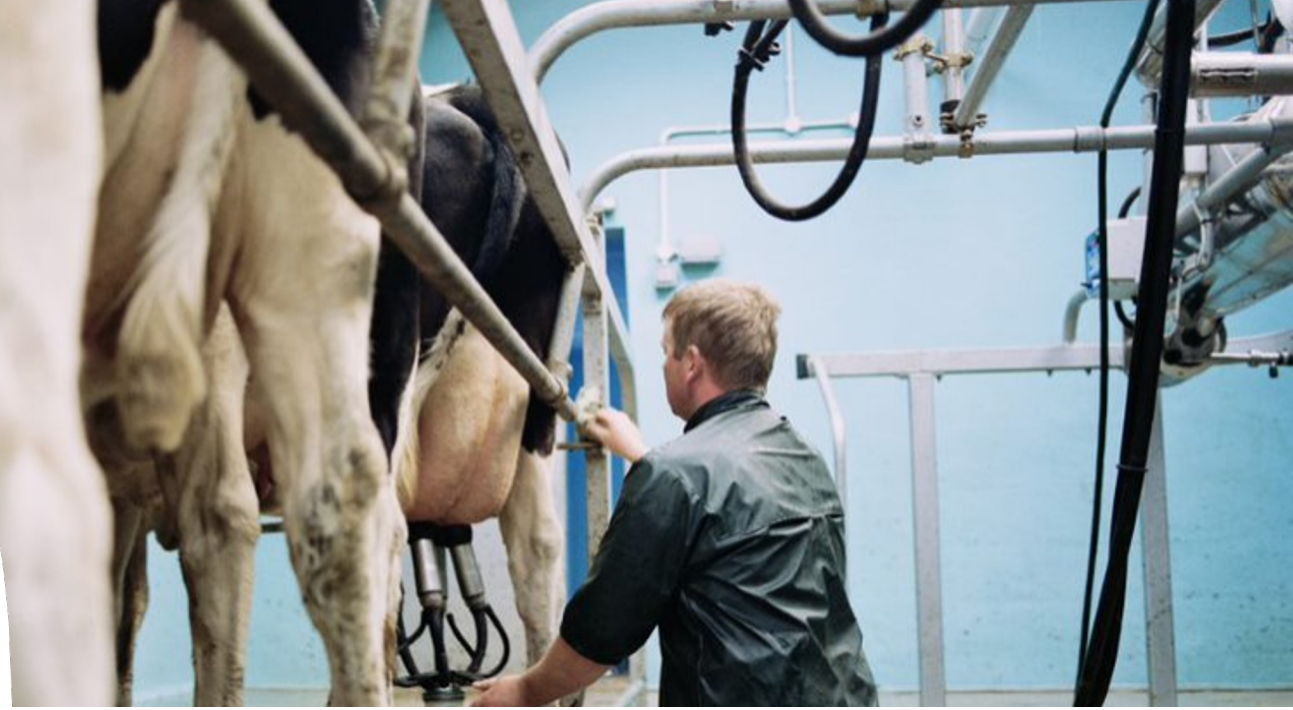
Farming Innovation Programme Research Starter 3

Programme Overview
Competition Scope

Robert Crook
Innovation Lead. Agriculture and Food.

Farming Innovation Programme

- Part of Defra's Agricultural Transition Plan
- £270M of grant funding for R&D through to 2028/29
- To enhance productivity, environmental sustainability and resilience in England's farming sectors
- Funding is awarded on a competitive basis to high quality, innovative projects
- Collaborative projects must benefit farmers and growers in England.



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Farming Innovation Programme

Current Picture

As of January 2023, we have committed over £83m of funding across 10 competitions

This is 3rd round of Research Starter funding.

- Research Starter Round 1 projects started in August 2022
- Research Starter Round 2 projects are scheduled to start April 2023

Farming Innovation Programme: https://farminginnovation.ukri.org/

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Farming Innovation Programme – three funds

INDUSTRY-LED R&D PARTNERSHIP FUND

Connecting groups of farmers, growers, foresters and businesses with researchers for R&D that is responsive to immediate, practical industry needs

FARMING FUTURES R&D FUND

Driving more fundamental R&D into strategic and sector-wide challenges that will improve productivity and enhance environmental outcomes in the long term.

ACCELERATING ADOPTION FUND

Smaller-scale, agile projects to trial the on-farm viability of new technology ideas, processes and practices and boost their adoption by farmers and growers.

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Industry-led R&D Partnership Fund

	What you want to do →	Take a look at
	Explore an idea that could benefit your farm and others	Research Starter
	Check whether an idea works in practice	Feasibility
	Develop a new farming product or service	Small R&D Partnership

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Explore an idea that could benefit your farm and others

RS3 Competition Scope

This competition aims to provide applicants with the knowledge and information support to investigate their idea to understand the potential for further development.

The competition is for collaborative feasibility studies to investigate new solutions to industry identified challenges, or opportunities for farmers, growers or foresters that have the potential to significantly improve:

- productivity
- sustainability and environmental impact
- progression towards net zero emissions
- resilience

Projects must focus on developing on-farm or immediate post farmgate solutions.

Projects must be led by an English farmer, grower or forester

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Explore an idea that could benefit your farm and others

What projects are we looking for

- Ideas with the potential to substantially improve overall productivity, sustainability, resilience and move existing agricultural sectors to net zero
- Ideas to solve complex issues that would make a difference to farmers if solutions were developed
- Problems or opportunities where currently there is no existing or obvious solution
- Not easy and not going to be solved overnight
- Not going to be solved on-farm – will need some serious ‘science’ or ‘tech’ to help work out a solution
- Ideally solution will benefit a large numbers of farmers, growers or foresters in England

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Explore an idea that could benefit your farm and others

In / Out of Scope

In scope

Your project must address a significant industry challenge or opportunity in at least one of the four industry subsectors:

- livestock
- plants
- novel food production systems
- bioeconomy and agroforestry

Out of scope

- are equine specific
- involve wild caught fisheries
- are cellular or acellular production systems, fermentation systems for bacteria, yeast or fungi
- are for the production of crops or plants for medicinal or pharmaceutical use
- are aquaculture, including algae and seaweed, for human consumption
- do not benefit farmers, growers or foresters in England

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Explore an idea that could benefit your farm and others

Possible outcomes

Project shows the idea has potential and is worth further research and development to commercialise

Project identifies that a solution, system or product already exists

Project shows that the concept is not viable

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Explore an idea that could benefit your farm and others

1 Competition. 2 Stages - EoI and Full Stage

Stage 1 (Expression of Interest)

- Simple application
- Explain your idea.
- Tell us your problem and your ideas for a solution

30th Jan – 8th March 2023

Stage 2 (Full application)

- Tell us the details of your project approach, team and budget
- We will provide help to complete this stage
- May and June 2023

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Explore an idea that could benefit your farm and others

Research Starter 3

Summary - Full Stage Competition

- **£850k** total budget
- Total eligible project costs between **£28,000 - £56,000**
- Projects **6 to 12 months** duration to start by **1st November 2023**
- Lead must be a farming, growing or forestry business of any size based in England
- Not have been awarded Innovate UK funding as a project lead within the last 5 year

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EoI Stage deadline 8th March 2023 11:00 am

Full stage application will be by invitation starting in May

Explore an idea that could benefit your farm and others

EoI Stage – application details.

Project plan, budget and team

No detail plan or budget required

No funding

You
(farming / growing / forestry business)

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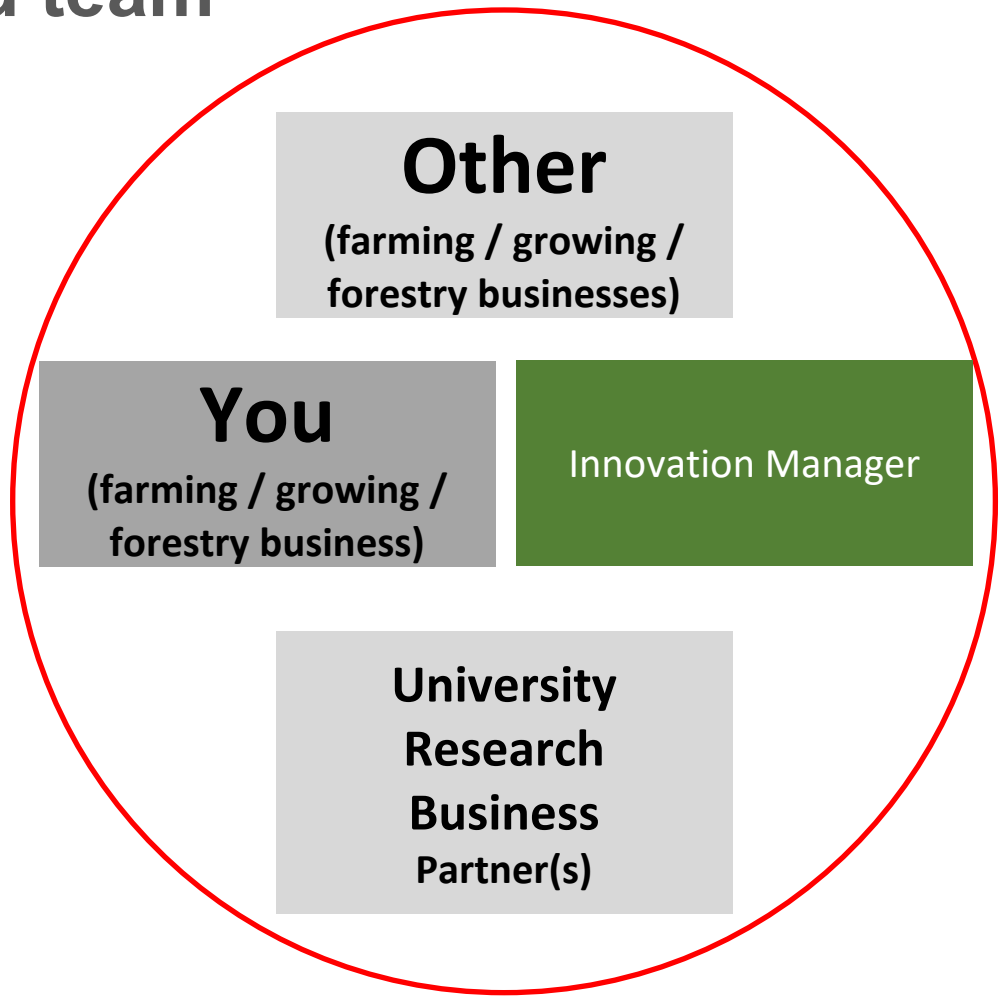
Explore an idea that could benefit your farm and others

Full Stage – application details

Project plan, budget and team

Project Plan required

Detail budget required
£28,000 - £56,000 costs



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Explore an idea that could benefit your farm and others

Three sources of dedicated support

EoI stage

Full application stage

‘Innovation Broker’ – Innovate UK KTN

‘Approved Project’ delivery stage

Innovation Manager

IUK Monitoring Officer

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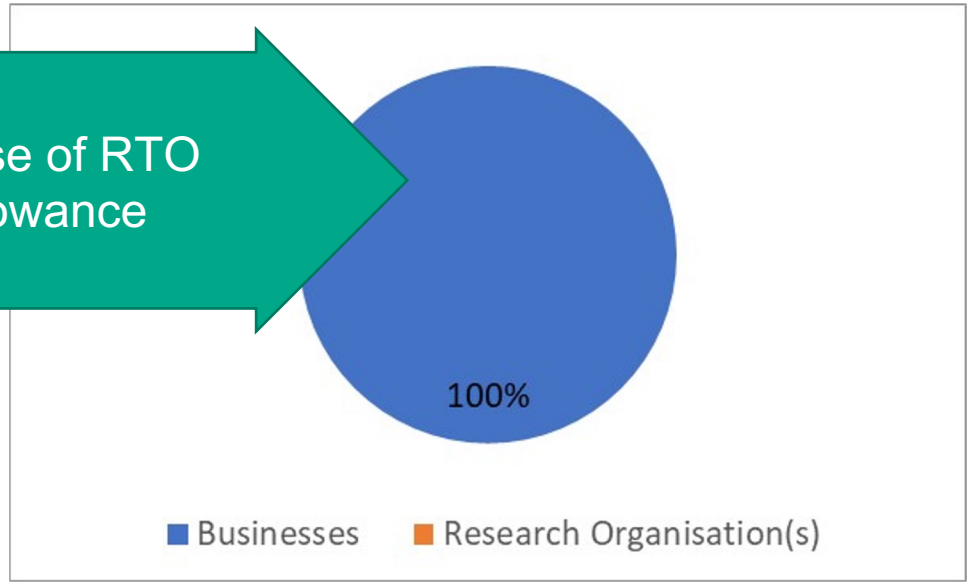
Research Starter 2 – Full Stage

Finance – Benefits of Collaboration

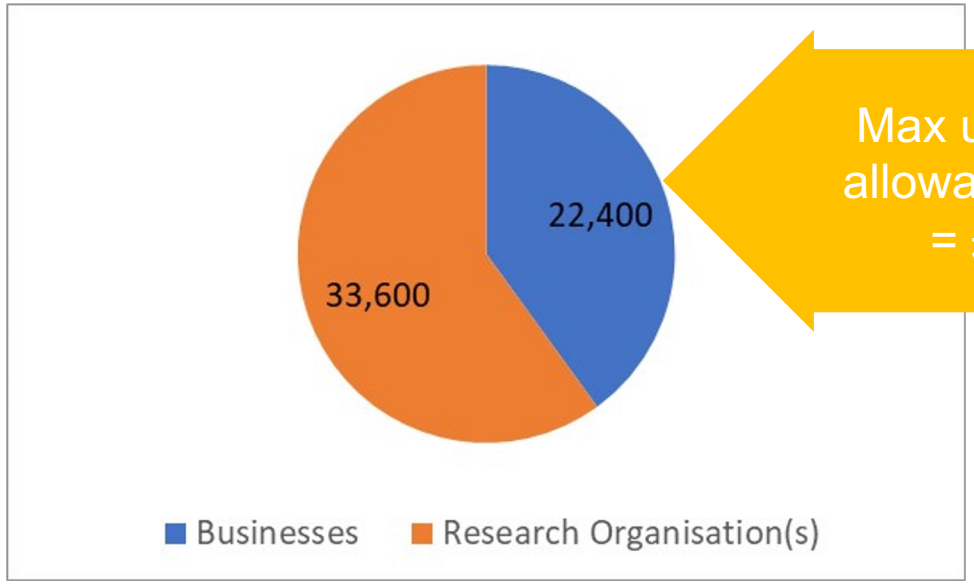
No use of RTO allowance



Business Contribution…
£56,000 @ 30% **= £16,800**
Grant
£56000 @ 70% **= £39200**

£56,000 Maximum Project Costs
RTO Funding 60% Maximum

Note. Innovation Manager will be funded out of project costs



Max use of RTO allowance of 60% = £33600

Business Contribution…
£22,400 @ 30% = **£6,720**

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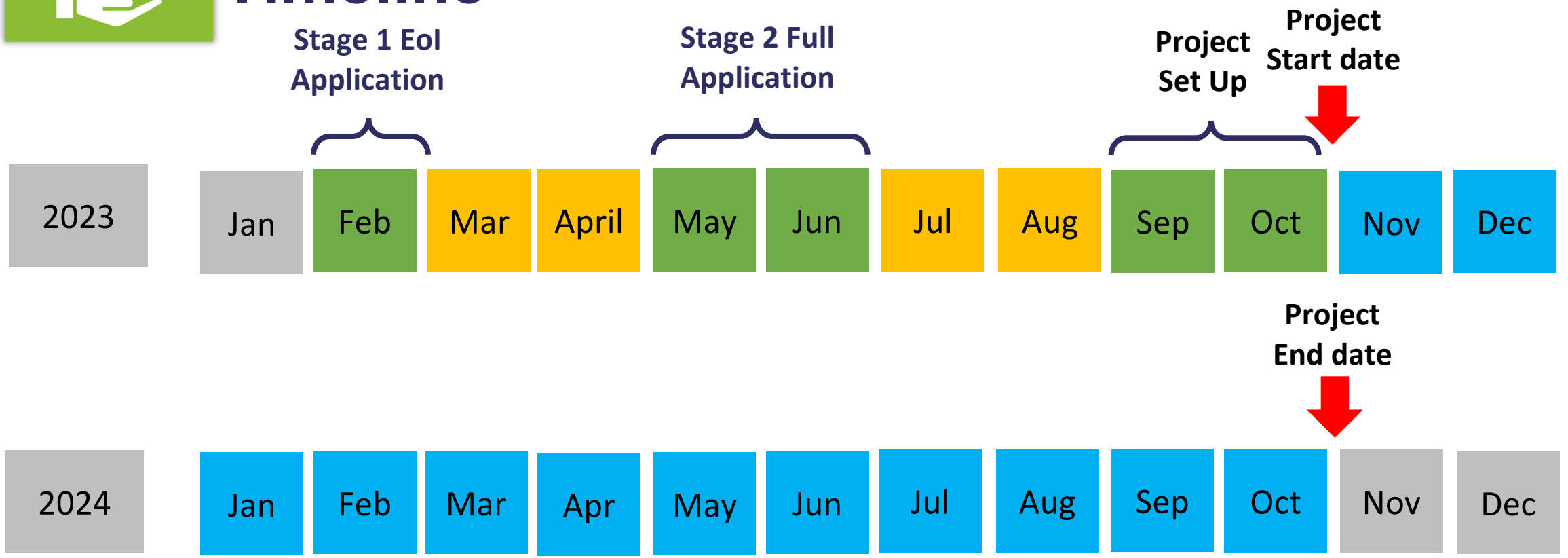
Explore an idea that could benefit your farm and others

Timeline



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Explore an idea that could benefit your farm and others

Application Questions - EoI

1. Six questions. Four are scored
2. Written responses. 400 words max.
3. Simple short responses can be good
4. Provide enough detail so assessors can understand your idea and the potential benefits
5. Explain why your idea is good, why the funding is useful and why it should progress to full stage

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Explore an idea that could benefit your farm and others

Application questions

1. Location of farmer, grower and forester who is project lead for this application (not scored)
2. Tell us about you and your business (not scored)
3. What do you want to achieve from this funding?
4. What is your 'big idea' for a solution or new opportunity?
5. Where did the idea come from?
6. If your solution is successful, what improvements will it offer?

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Hints and Tips

Preparation is Key

- Do not leave until last minute

Eligibility and Scope

- Read and check carefully to avoid missing out before assessment

Start to think about your partners

- skills and capabilities you might need
- who you might work with

Competition Briefing

Sebastian Leonard - Portfolio Manager, Innovate UK

UKRI Innovate UK KTN

Eligibility criteria

Innovate UK

Previously submitted applications

This competition does allow you to submit a previously submitted application.

Previously submitted application	Not a Previously submitted application
A previously submitted application is an application Innovate UK judges as not materially different from one you have submitted before (but it can be updated based on the assessors' feedback)	A brand-new application, project or idea that you have not previously submitted into an Innovate UK competition OR A previously submitted or ineligible application which: ✓has been updated based on assessor feedback ✓and is materially different from the application submitted before ✓and fits with the scope of this competition

Innovate UK

Eligibility criteria (EOI)

Project eligibility	Lead applicant must: • Be an active farming, growing or forestry business of any size based in England • Be able to evidence that you are an established business, including sole traders and partnerships • Not have been awarded innovate UK funding as a project lead within the last 5 years before 8 March 2023 A farming, growing or forestry business can only lead on one EoI application

Innovate UK

Eligibility criteria (Full Stage)

Project eligibility	• Be an active farming, growing or forestry business of any size based in England • Be able to evidence that you are an established business, including sole traders and partnerships • Not have been awarded innovate UK funding as a project lead within the last 5 years before 8 March 2023 • must carry out your project in the UK • exploit the results from or in the UK
Total grant	£850,000 (total competition funding)
Individual project costs	£28,000 to £56,000
Project length	between 6 and12 months

UKRI Innovate UK

Compliance with the UK Subsidy Control Regime

On 1 January 2021, the UK left the EU and is no longer subject to EU laws on State aid. We draw your attention to the guidance issued by BEIS: Complying with the UK's international obligations on subsidy control: guidance for public authorities. Please be aware this is a living document and may be updated by BEIS as time progresses.

The set rules (typically GBER) which we previously relied on for the limits of what we could award, have now been replaced by internal decisions based on the new BEIS Subsidy Control Regime, and on policy, which will in turn set out bespoke eligibility requirements for each funding opportunity.

Innovate UK is offering funding for this competition in line with the UK's obligations and commitments to Subsidy Control. To ensure that Innovate UK remains compliant with the UK's international Subsidy Control duties in respect of:

- the EU-UK Trade and Cooperation Agreement;
- Article 10 of the Northern Ireland Protocol (successful applicants which are affected by the Northern Ireland Protocol will be funded in line with EU State aid regulations)
- Article 138 of the Withdrawal Agreement (some Union law applicable after 31 December 2020 in relation to the UK's participation in Union programmes and activities)
- the Subsidies and Countervailing measures within the WTO (ASCM)
- any other Free Trade Agreements active at the time of award

All awards will be conditional on compliance at all times with the UK's International obligations on Subsidy Control - this will be reflected in the terms and conditions of any award.

UKRI Innovate UK

Due diligence for UK Subsidy Control Regime

Under the Subsidy Control Regime, we will carry out financial health checks and ongoing concern assurances on your organisation.

Certify you are eligible

When submitting an application, you must certify that you are eligible for funding. If you are unsure, please take independent legal advice before applying. Should you be successful, we will complete these financial checks and assurances before confirming the grant offer.

- For more information on company sizes, please refer to the Company accounts guidance.
- Further information is available on our website in the general guidance.

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Eligibility Criteria - EU State Aid Regulations – Northern Ireland Protocol

If you are an applicant who is conducting activities that will affect trade of goods and/or electricity between Northern Ireland and the EU as envisaged by Article 10 of the Northern Ireland protocol, then you must apply under European Commission State aid rules.

Undertaking in Difficulty

For applicants subject to the European Commission State aid rules, you will be required to prove that they were not an “Undertaking in Difficulty” (UiD). We will ask for evidence of this.

This test applies to:

- companies that are more than 3 years old
- companies where more than half of its subscribed share capital has disappeared as a result of accumulated losses.
- your parent or holding company

Certify you are eligible

When submitting an application, you must certify that you are eligible for State aid. If you are unsure, please take legal advice before applying. Should you be successful, we will apply this test as part of our viability checks before confirming the grant offer.

Further information is available on our website in the general guidance under state aid.

If you are applying for an award funded under State aid Regulations, the definitions for company size are set out in the European Commission Recommendation of 6 May 2003.

Eligibility Criteria: Funding Opportunities

Funding for R&D projects split in to 1 categories; feasibility studies

For feasibility studies and industrial research projects, you could **get funding for your eligible project costs of:**

- up to 70% if you are a micro or small organisation
- up to 60% if you are a medium-sized organisation
- up to 50% if you are a large organisation

For general guidance on what our research categories are please visit:
https://www.ukri.org/councils/innovate-uk/guidance-for-applicants/general-guidance/categories-of-research-and-development/#contents-list

Innovate UK

Participation Funding Rules

- A minimum of 50% of the total grant amount requested by farmers, growers and foresters in the full stage application, must come from farmers or growers geographically based in England.

A maximum level of 60% of total eligible project costs can be shared by all research organisations collaborating on the project.

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Other Innovate UK projects

If you have an outstanding final claim or Independent Accountant Report (IAR) on a live Innovate UK project, you will not be eligible to apply to this competition, as a lead or a partner organisation.

We will not award you any further funding if you:

- applied to a previous competition as the lead or sole company and were awarded funding by Innovate UK, but did not make a substantial effort to exploit that award
- applied to a previous competition as the lead or sole company and failed to comply with grant terms and conditions.

UKRI Innovate UK

Key Dates

Timeline	Dates
Competition Opens	30 January 2023
Briefing Event	01 February 2023
Submission Deadline	08 March 2023, 11:00
Applicants informed	27 April 2023

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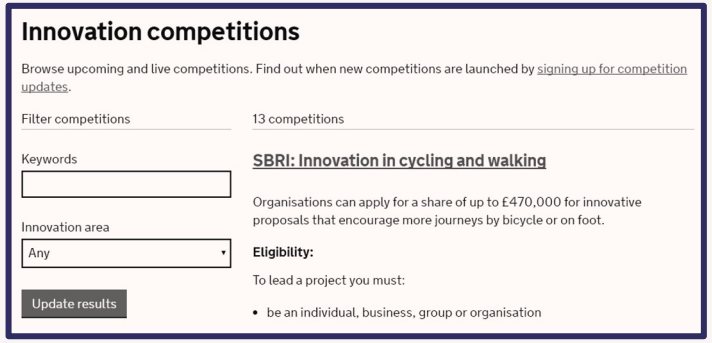
Innovation Funding Service (IFS)

Search for a funding competition and review criteria



Innovation competitions

Browse upcoming and live competitions. Find out when new competitions are launched by signing up for competition updates.

Filter competitions

Keywords

Innovation area

Any

Update results

13 competitions

SBRI: Innovation in cycling and walking

Organisations can apply for a share of up to £470,000 for innovative proposals that encourage more journeys by bicycle or on foot.

Eligibility:

To lead a project you must:

- be an individual, business, group or organisation

Farming Innovation Programme: Research Starter Round 3. EoI

Farming, growing or forestry businesses based in England can apply for a share of up to £850,000 for feasibility projects to improve productivity, sustainability, resilience and move the agricultural sector to net zero.

Eligibility

This competition is open to single applicants only.

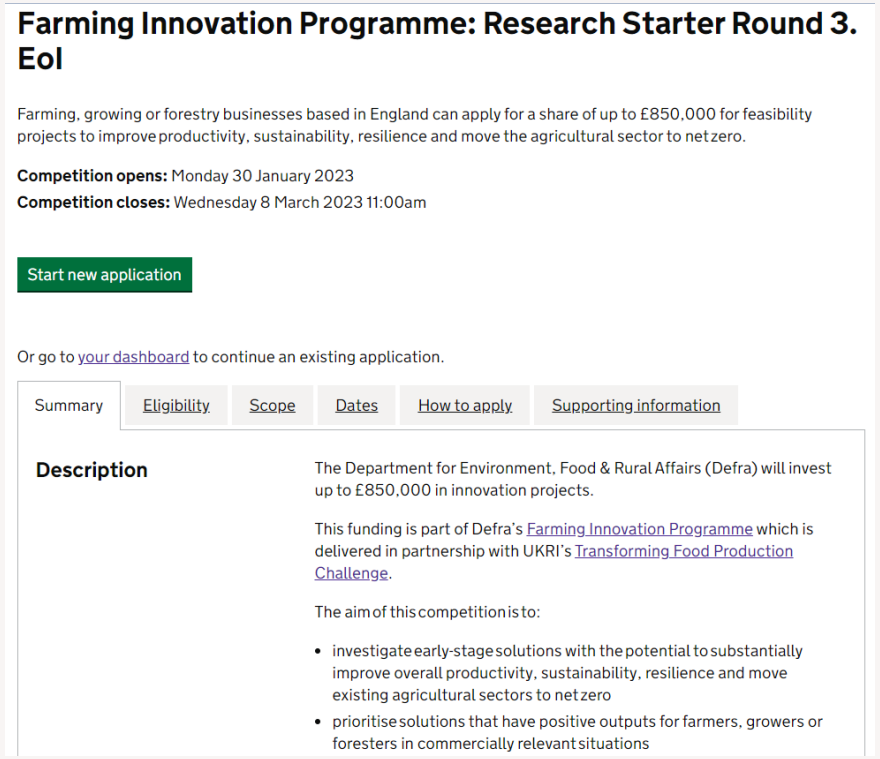
To lead a project your organisation must:

- be an active farming, growing or forestry business of any size based in England
- be able to evidence that you are an established business, including sole traders and partnerships
- not have been awarded Innovate UK funding as a project lead within the last 5 years before 8 March 2023

Open now

Opened: 30 January 2023

Closes: 8 March 2023



Farming Innovation Programme: Research Starter Round 3. EoI

Farming, growing or forestry businesses based in England can apply for a share of up to £850,000 for feasibility projects to improve productivity, sustainability, resilience and move the agricultural sector to net zero.

Competition opens: Monday 30 January 2023

Competition closes: Wednesday 8 March 2023 11:00am

Start new application

Or go to your dashboard to continue an existing application.

Summary | Eligibility | Scope | Dates | How to apply | Supporting information

Description

The Department for Environment, Food & Rural Affairs (Defra) will invest up to £850,000 in innovation projects.

This funding is part of Defra's Farming Innovation Programme which is delivered in partnership with UKRI's Transforming Food Production Challenge.

The aim of this competition is to:

- investigate early-stage solutions with the potential to substantially improve overall productivity, sustainability, resilience and move existing agricultural sectors to net zero
- prioritise solutions that have positive outputs for farmers, growers or foresters in commercially relevant situations

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Lead Applicant: create an account

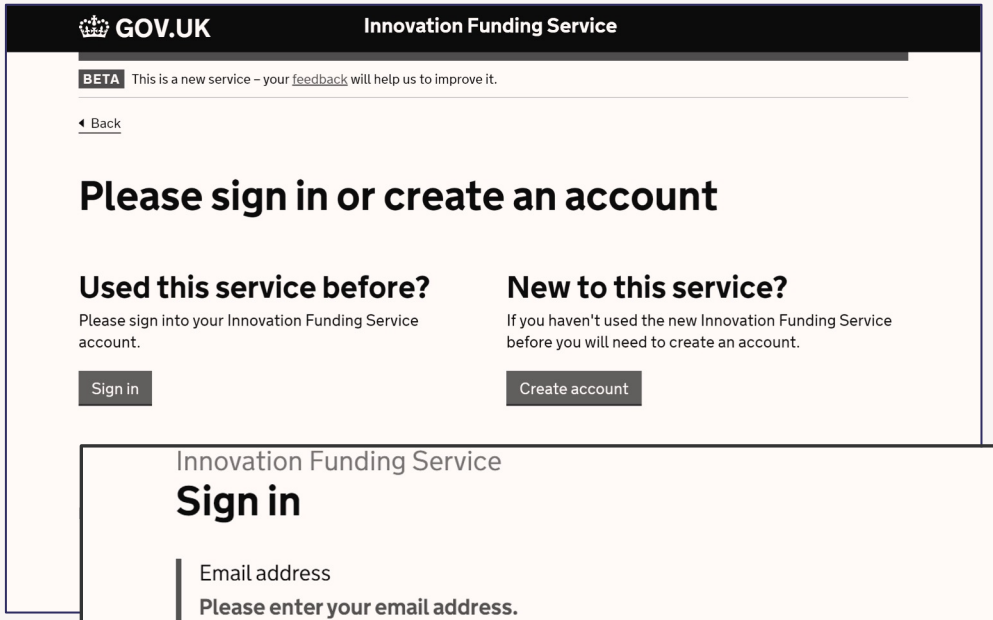
The lead applicant must create an account:

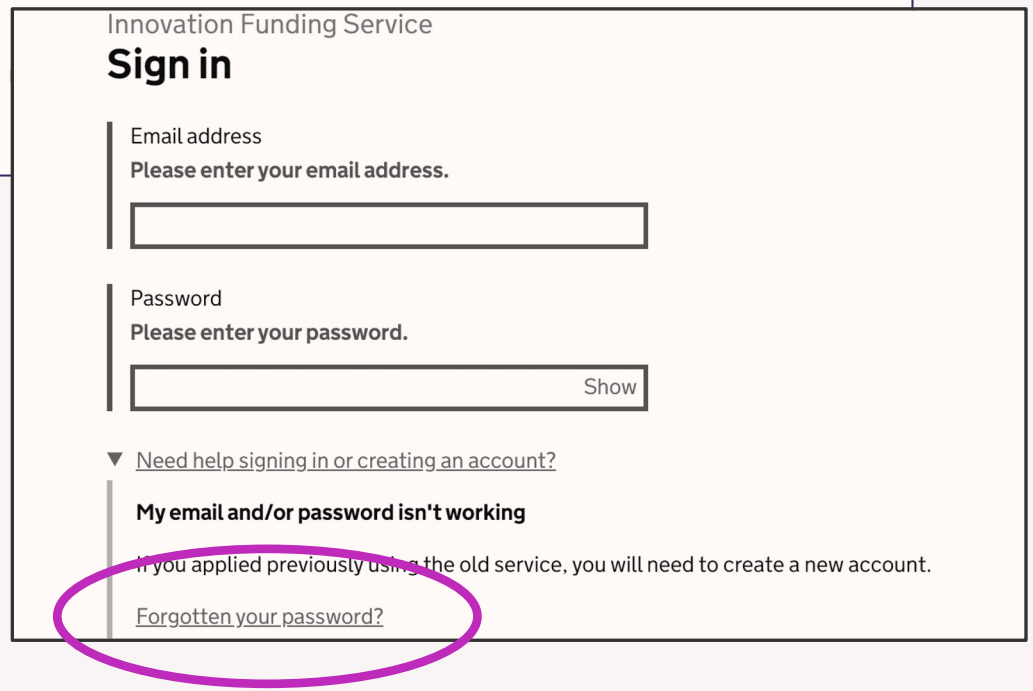
UK registered businesses

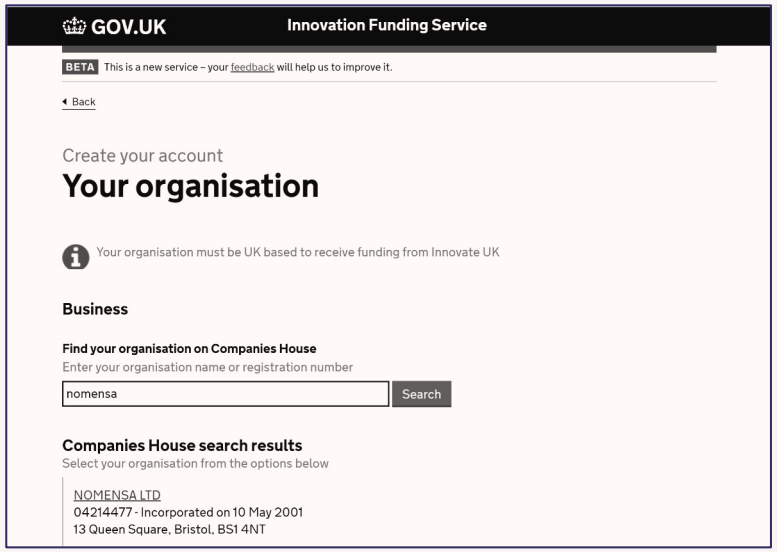
Use Companies House lookup as it speeds up our checks by providing your company number. You are unable to enter this at a later date.

Research organisations, academics and universities

Enter your information manually so you are not listed as a business on IFS and ensure you receive the correct funding.







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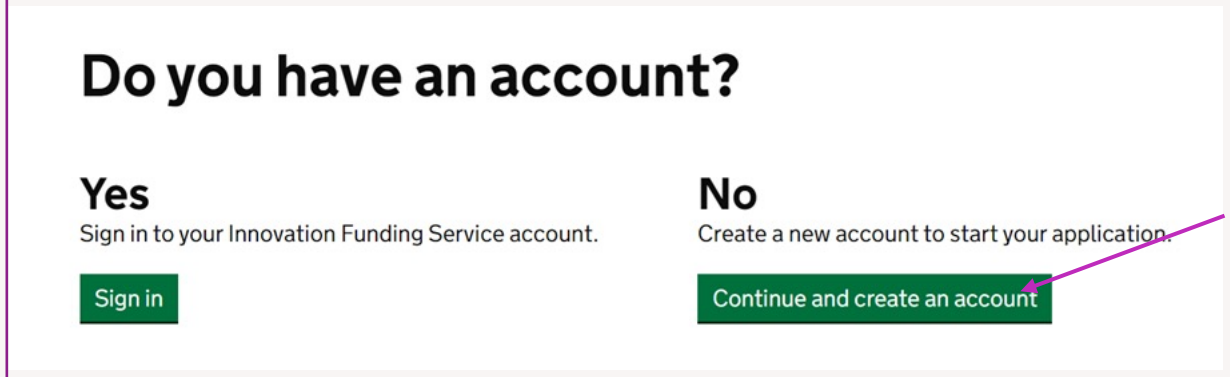
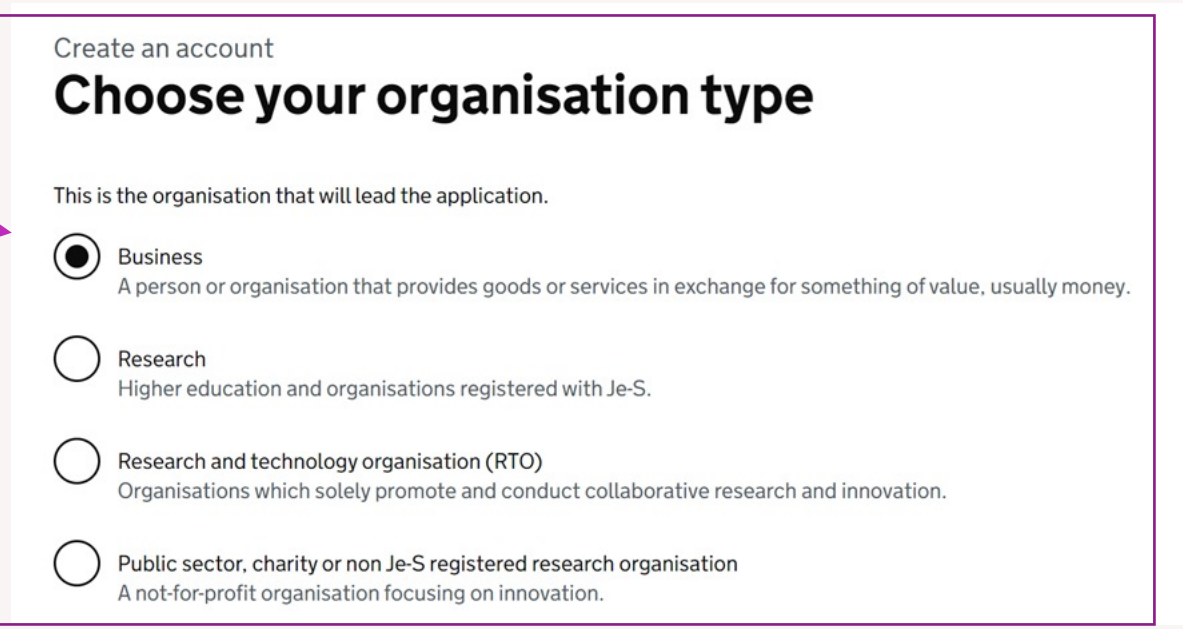
1) Click **Start New application**



2) Click **No - Create new account to start your application**.

3) Click **Business** for organisation type



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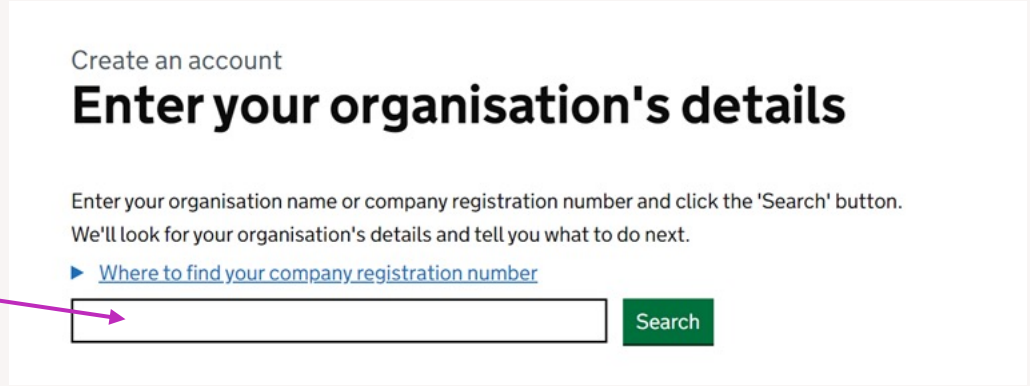
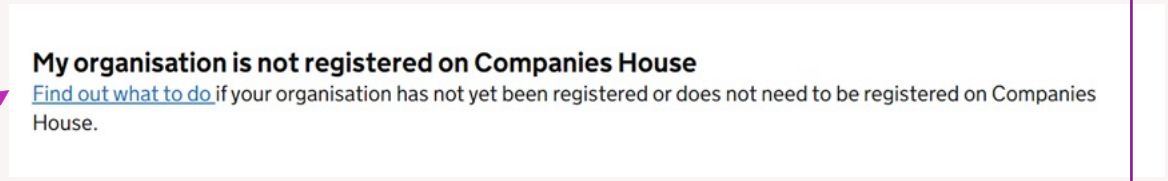
4) The next page it will give “Enter your organisation’s details”. In the search box put a zero or single number or a letter and click **Search**



5) The next page will show a list of companies. And links to further pages of companies. **Ignore these**.

Look near the bottom of this page there is some text “My organisation is not Registered” Click **Find Out What To Do**



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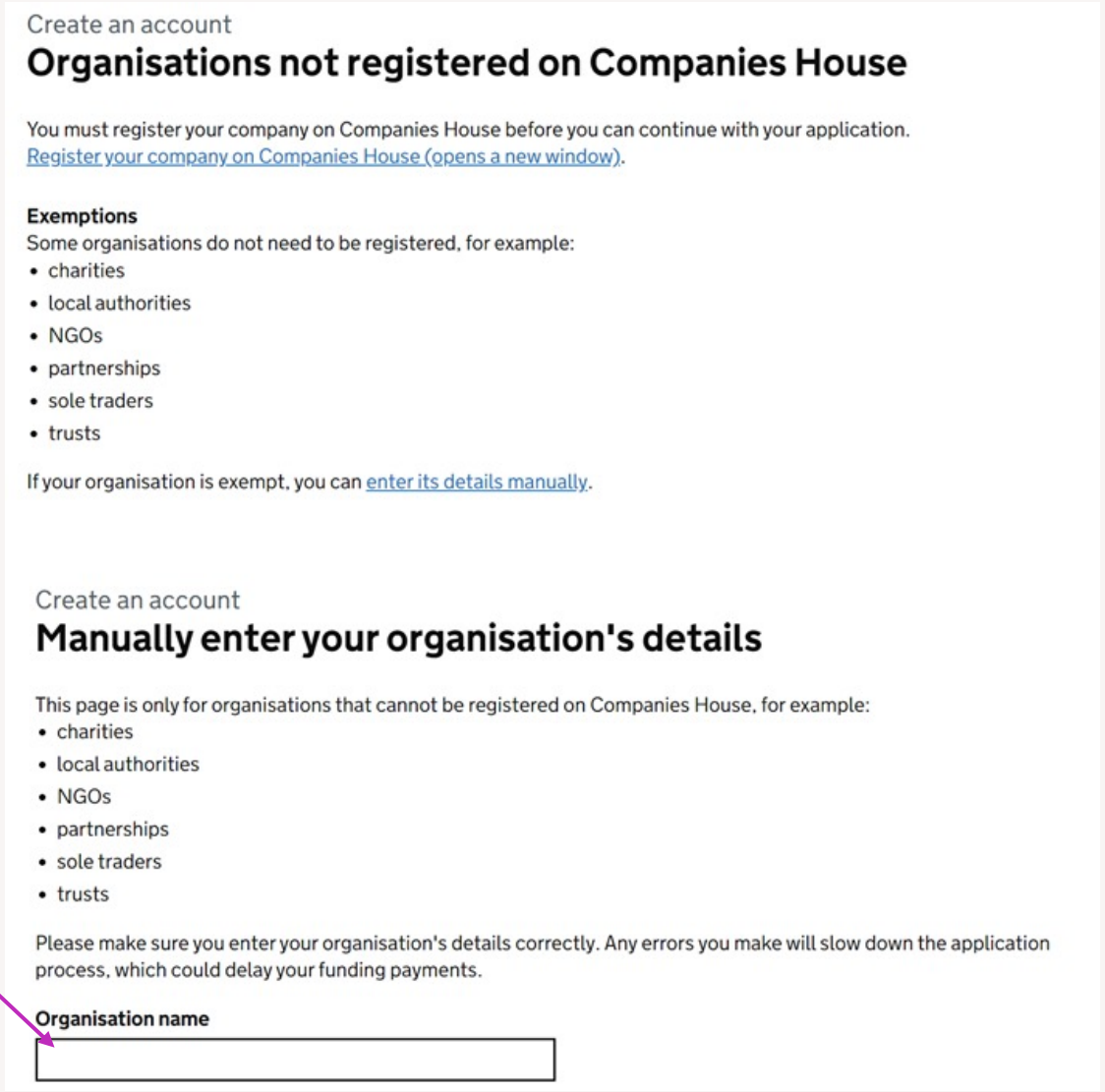
6) The next new screen will enable you to enter text manually into boxes with the company business details. Once completed these can be submitted and application started.



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Project Details

- **Application Team** - Collaborators can invite organisations who you are working with on the project. Contributors can invite colleagues from your own organisation to help you complete your application Lead applicants must complete the Equality, Diversity and Inclusion survey embedded in IFS as part of your application.
- **Application Details** - Title, timescales, research category, innovation area and previously submitted application (y/n)
- **Subsidy basis** - Will the project, including any related activities, you want Innovate UK to fund, affect trade between Northern Ireland and the EU? All participants must complete this section.
- **Project Summary** - Short summary and objectives of the project including what is innovative about it
- **Public Description** - Description of your project which will be published if you are successful
- **Scope - How does your project align with the scope of this competition?** - If your project is not in scope, it will be ineligible for funding

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Application Questions

Detailed guidance available on IFS

Application Form		Appendix?
Question 1	Location of the farmer, grower or forester who is the project lead for this application. (not scored)	No
Question 2	Tell us about you and your business (not scored)	No
Question 3	What do you want to achieve from this funding?	No
Question 4	What is your ‘big idea’ for a solution or new opportunity?	No
Question 5	Where did your idea come from?	No
Question 6	If your solution is successful, what improvements will it offer?	No

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Application finances

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To claim funding

Your business does not have to be UK registered with Companies House when you apply but it must be registered before you can receive funding.

You are unable to claim funding if:

- you are an overseas organisation, so your company number begins with FC
- your organisation is setup as a branch, so your company number begins with BR
- you are a collaboration with no formal structure of ownership or control, so your company number begins with ML
- you are a Crown Dependency:
  - if your company is based in Jersey, your company number begins with JE
  - if your company is based in Guernsey
  - if your company is based in the Isle of Man

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British Overseas Territories

You are also unable to claim funding if your company is based in any of the British Overseas Territories (BOTs):

- Anguilla
- Bermuda
- British Antarctic Territory
- British Indian Ocean Territory
- British Virgin Islands
- Cayman Islands
- Falkland Islands
- Gibraltar
- Montserrat
- Pitcairn Islands
- Saint Helena, Ascension and Tristan da Cunha
- South Georgia and the South Sandwich Islands
- Turks and Caicos Islands

Funding

Funding rules

The level of funding awarded will depend upon the type of organisation and the type of research being undertaken in the project

Funding is calculated by project participant

IFS will advise the maximum grant % you can request based upon your answers to:

- type and size of organisation
- research category defined by the lead applicant in the Application Details section of the application

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Organisation or type of activity	Technical feasibility studies and industrial research	Experimental development
Business (economic activity)	Micro or Small – 70% Medium – 60% Large – 50%	Micro or Small – 45% Medium – 35% Large – 25%
Research organisation (non-economic activity)	Universities – 100% (80% of Full Economic Costs) Other research organisations can claim 100% of their project costs	Other research organisations must: • be non-profit distributing and • disseminate the project results and • explain in the application form how this will be done
Public Sector Organisation or Charity (non-economic activity)	100% of eligible costs	Must: • be performing research activity and • disseminate project results and explain in the application form how this will be done • ensure that the eligible costs do not include work / costs already funded from other public sector bodies
Research organisations (undertaking economic activities) Organisations receive funding related to the size of their organisation	Micro or Small – 70% Medium – 60% Large – 50%	Micro or Small – 45% Medium – 35% Large – 25%

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Why Je-S?

We use the Research Councils' Joint Electronic Submission System (Je-S) to collect academic finances.

The Je-S system automates the collection of Full Economic Costs (FEC) based costs from academic partners and tells them exactly what numbers should be used in the application form for their costs.

Also to collect project finance details from non-HEIs (e.g. RTOs) that are claiming they are carrying out academic quality work and want to be funded on an FEC basis.

Using Je-S means that Innovate UK follows standard Research Council guidelines on funding universities and enables Research Councils to easily co-fund Innovate UK projects.

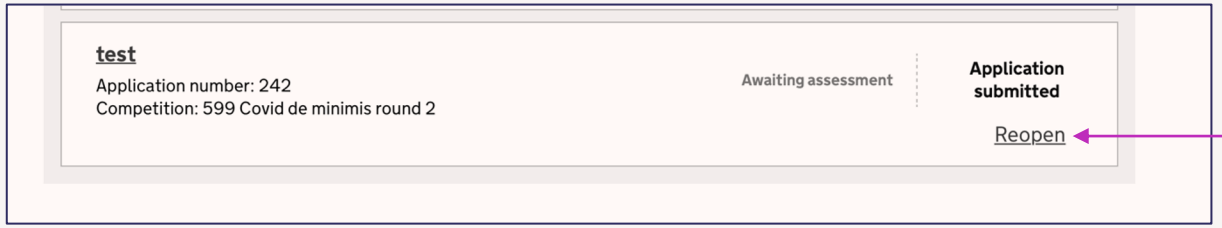
The Je-S system is completely separate from Innovate UK and we cannot advise on its usage.

UKRI Innovate UK

Submitting your application

UKRI Innovate UK

Editing a submitted application



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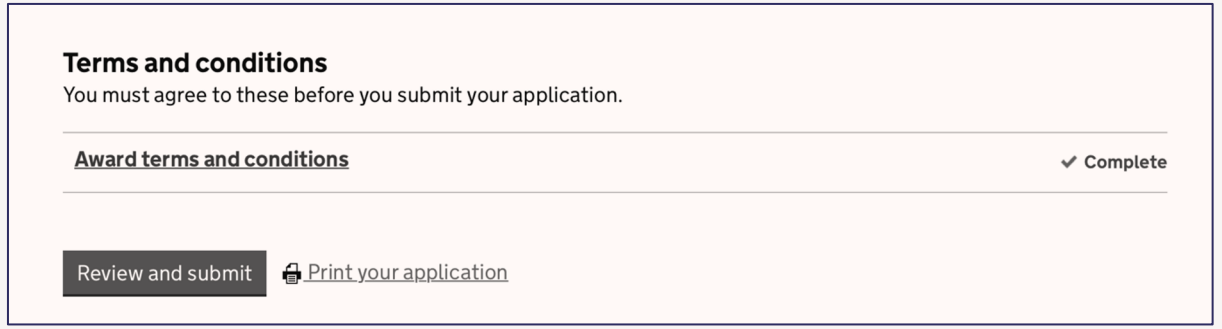
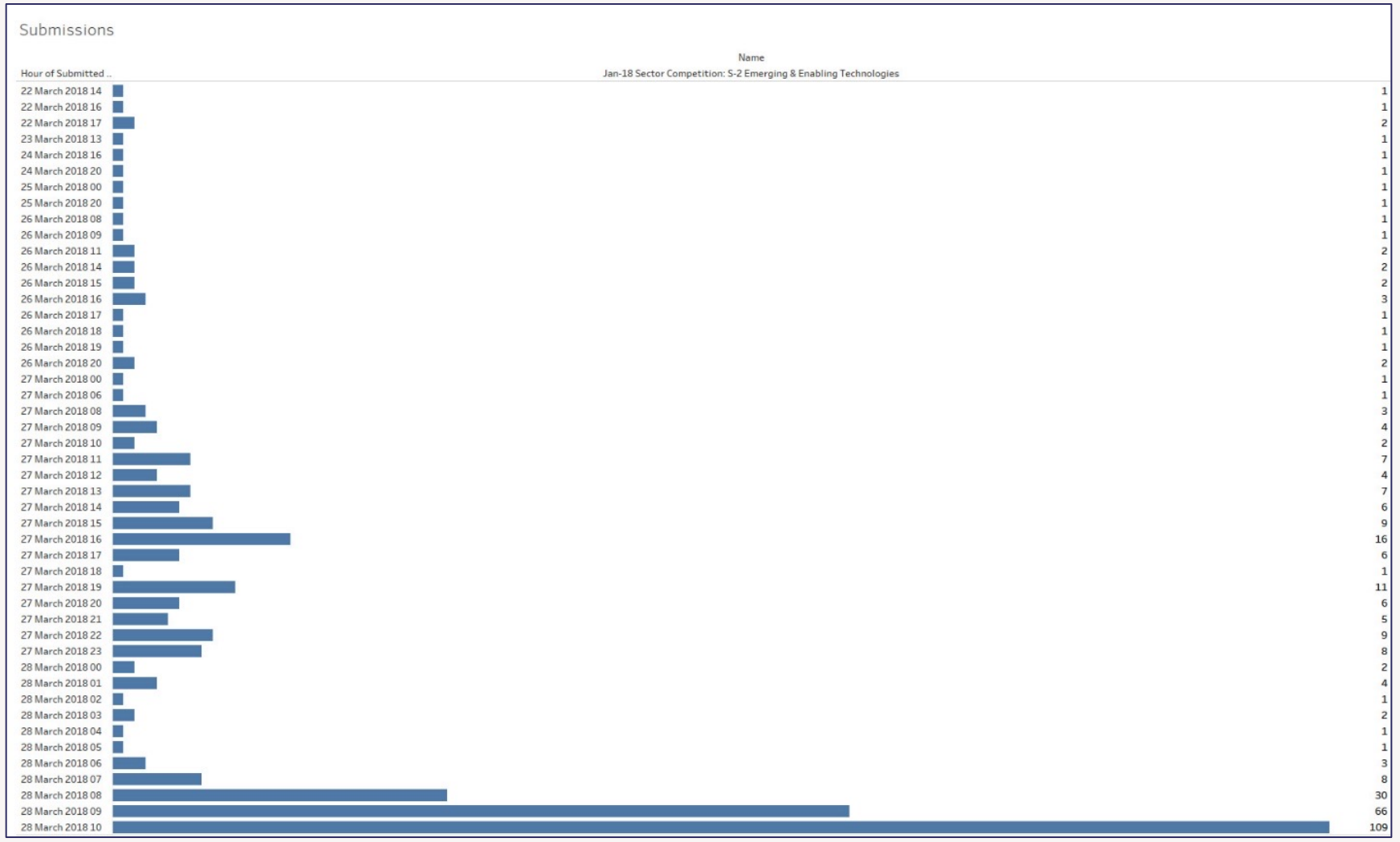


Remember to press ‘Submit application’

Innovate UK

Submit your application early!

Customer Support can help resolve any issues you might have when submitting but only if they are contacted before the deadline. Once the deadline has passed, your application cannot be submitted.



Innovate UK

Assessment

Innovate UK

How our assessors assess?

All applications are assessed by an independent **pool** of assessors drawn from industry and academia.

We require our assessors to maintain confidentiality and declare any conflicts of interest, ensuring a fair and transparent process.

Assessors are unable to contact you regarding your application content. Therefore, your application should contain:

- a justification for a viable opportunity for growth and a level of innovation that necessitates public investment
- clear and concise answers
- the right amount of information
- no assumptions

We recommend you review the competition brief and guidance for help.

UKRI Innovate UK

How are successful applicants selected for funding?

Assessors will score each section of your application.

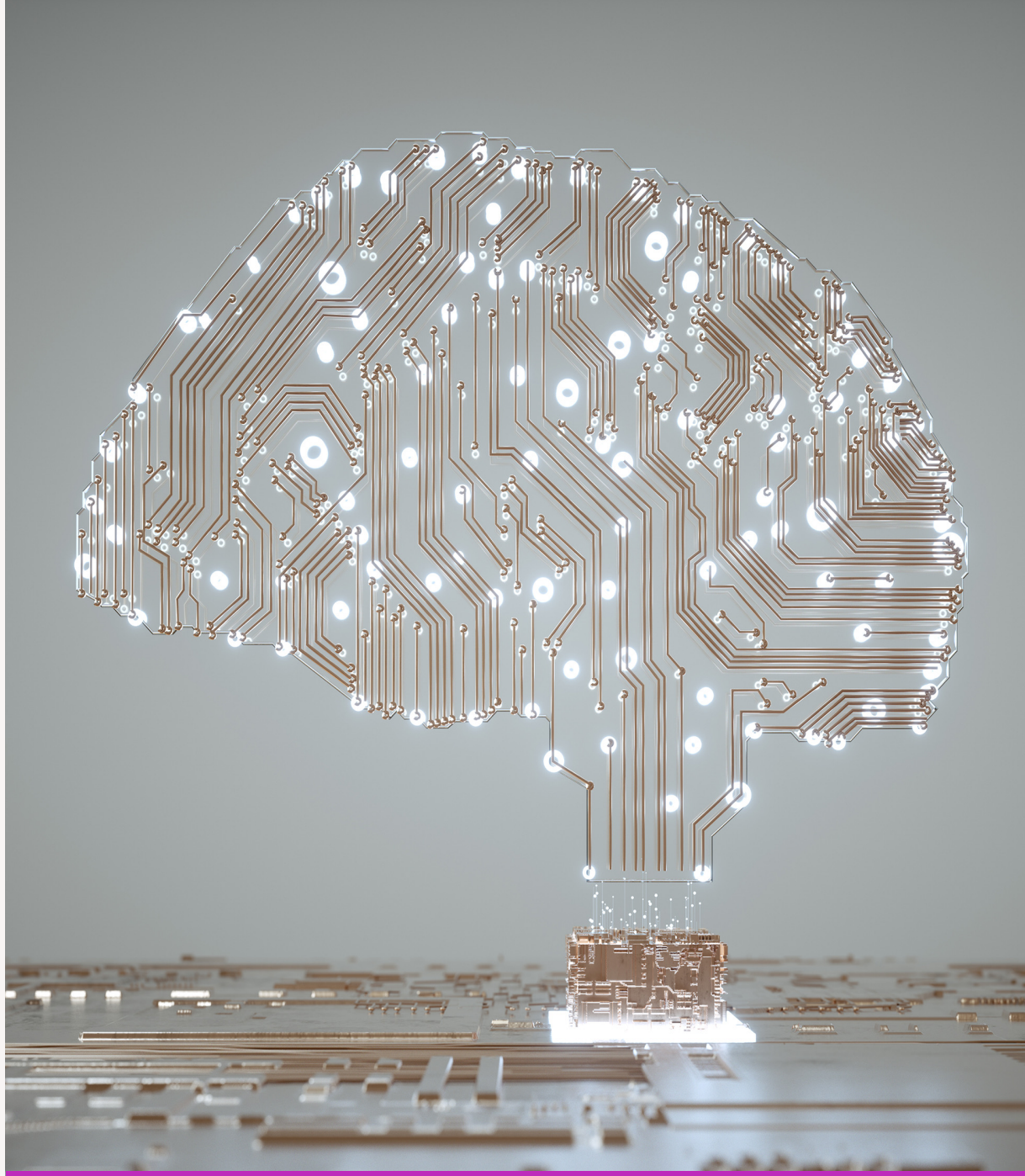
After the assessor process, InnovateUK will independently moderate assessor scores. Any outliers in scores may be removed and your total score will be updated.

You will then receive email notification to offer grant funding or to inform you that your application has been unsuccessful on this occasion.

Whether successful or unsuccessful in receiving grant funding, you will receive feedback on your application. It is intended to be constructive in nature and to highlight both the strong as well as the weak areas of your application.

UKRI Innovate UK

Project setup for successful applicants



UKRI Innovate UK

Notification

If you are unsuccessful in this competition

You can use the feedback from the assessors to develop your idea and apply into another competition that allows previously submitted applications

If you are successful in this competition

- If you are successful with this application, you will be invited to apply for the full stage of this competition to request funding support.
- You will be able to access independent support to help complete the full application stage. The full stage will open in May 2023.

UKRI Innovate UK

Additional Support

Innovate UK

Equality, Diversity & Inclusion

- We are on a mission to embed Equality, Diversity, and Inclusion in everything we do, internally and externally.
- We believe that great ideas can, and do, come from anyone and everyone.
- We know that diversity and inclusion in businesses contributes to enhanced innovation, satisfaction, performance, and ultimately, commercial success.

UKRI Innovate UK

Available Support

- We welcome and encourage applications from people of all backgrounds and are committed to making our application process accessible to everyone.

- This includes providing support for people who have a disability or long-term condition and face barriers applying to us.

- So, if you would like any support, please contact our Customer Support Service Team on **support@iuk.ukri.org** or at **0300 321 4357**.

UKRI Innovate UK

What to Expect

1.	2.	3.	4.	5.
Contact our Customer Support Services Team as early as possible – we suggest at least 15 working days before the deadline	Complete a request form which will be sent to our partner Diversity & Ability (D&A)	D&A will conduct a Discovery Conversation with you and make reasonable adjustments recommendations	D&A will organise and deliver bespoke reasonable adjustments for and with you	Submit your application – please do so well ahead of the deadline as extensions cannot be provided

UKRI Innovate UK

D&A Diversity and Ability

Contact

Customer Support Services

0300 321 4357 (Monday - Friday 9-5pm)

support@iuk.ukri.org

Innovate UK

ukri.org/councils/innovate-uk

Innovate UK KTN

ktn-uk.co.uk

UKRI Innovate UK

Support from Innovate UK KTN

Pedro Carvalho - Innovate UK KTN

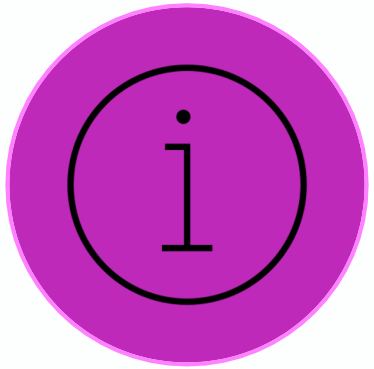
Innovate UK
KTN

Support available today

IUK Customer Support

Questions about how to apply or scope of the competition

support@iuk.ukri.org



Draft Application Feedback

Innovate UK KTN AgriFood team

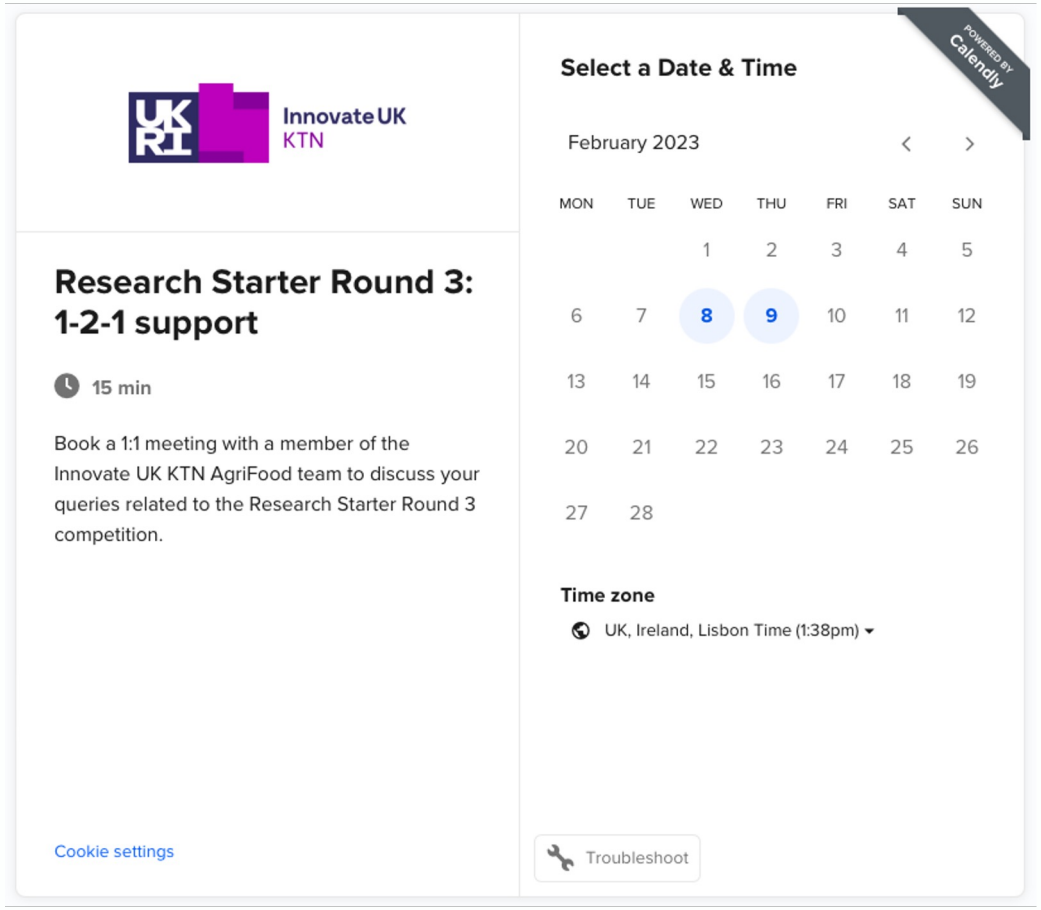
Book a 1 to 1 session
(Afternoon of the 8th and
Morning of the 9th February)

UKRI Innovate UK KTN

Research Starter Round 3: 1-2-1 support

Book a 15 min one-to-one meeting to discuss your queries and project with a member of the IUK KTN AgriFood team.

https://calendly.com/d/yhc-qxx-743/research-starter-round-3-1-2-1-support?month=2023-02

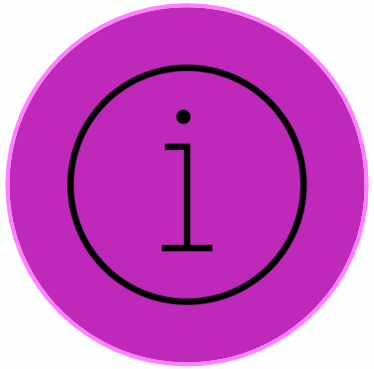


Innovate UK
KTN

Support available at the second stage

Identifying and contacting partners

Research Partners
Innovation Manager



Forming your project idea

Defining your question

Answering the application questions

Completing the written application and finance form

UKRI Innovate UK KTN

A farmers' perspective

Matt Smith - Trefranck Farm

Dale Holloway - NP Holloway & Son

UKRI Innovate UK KTN

Thank you

pedro.carvalho@iuk.ktn-uk.org

joanna.scales@iuk.ktn-uk.org

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